

THE VARIATIONAL METHOD

Goal: given H , estimate E_0 .

① Choose a trial state $|\Psi(a_i)\rangle$

some parameters

② Normalize

③ Calculate $E_\psi(a_i) = \langle \Psi(a_i) | H | \Psi(a_i) \rangle$

④ Minimize over $a_i \rightarrow E_\psi^{\min}$

Result: $E_0 \leq E_\psi^{\min} \leftarrow$ this is our estimate

THE VARIATIONAL METHOD

Question: In a spin $\frac{1}{2}$ system with Hamiltonian H

Katie evaluates $\langle x | H | x \rangle$ for $|x\rangle = |\uparrow\rangle + |\downarrow\rangle$
and finds 0.2 eV. She can conclude that:

① $E_0 \leq 0.2 \text{ eV}$

Normalized state is $|\Xi\rangle = \frac{1}{\sqrt{2}} |x\rangle$

② $E_0 \geq 0.2 \text{ eV}$

$$E_0 \leq \langle \Xi | H | \Xi \rangle = \frac{1}{2} \langle x | H | x \rangle = 0.1 \text{ eV}$$

③ $E_0 \leq 0.1 \text{ eV}$

④ $E_0 \geq 0.1 \text{ eV}$

⑤ $E_0 \leq 0.4 \text{ eV}$

⑥ $E_0 \geq 0.4 \text{ eV}$

THE VARIATIONAL METHOD

Question: For a quantum system with 3-dimensional Hilbert space and Hamiltonian represented as

$$H = E \begin{pmatrix} 4 & 2 & 7 \\ 2 & 1 & 3 \\ 7 & 3 & 5 \end{pmatrix}$$

What is the upper bound that we can place on the ground state energy by considering a trial state $|E\rangle$ represented as $\begin{pmatrix} \cos\theta \\ \sin\theta \\ 0 \end{pmatrix}$ for some fixed θ ?

EXTRA: What is the best we can do if we consider all possible θ ?

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Question: For a quantum system with 3-dimensional Hilbert space and Hamiltonian represented as

$$H = E \begin{pmatrix} 4 & 2 & 7 \\ 2 & 1 & 3 \\ 7 & 3 & 5 \end{pmatrix}$$

What is the upper bound that we can place on the ground state energy by considering a trial state $|\Psi\rangle$ represented as $\begin{pmatrix} \cos\theta \\ \sin\theta \\ 0 \end{pmatrix}$ (for some fixed θ).

- ① $4E\cos^2\theta$
- ② $4E\cos^2\theta + E\sin^2\theta$
- ③ $E\sin^2\theta$

④ $4E\cos^2\theta + 4E\cos\theta\sin\theta + E\sin^2\theta$

$$\begin{aligned} \langle \Psi | H | \Psi \rangle &= (\cos\theta \ sin\theta \ 0) \cdot E \begin{pmatrix} 4 & 2 & 7 \\ 2 & 1 & 3 \\ 7 & 3 & 5 \end{pmatrix} \begin{pmatrix} \cos\theta \\ \sin\theta \\ 0 \end{pmatrix} \\ &= E(\cos\theta \ sin\theta) \begin{pmatrix} 4\cos^2\theta + 2\sin\theta\cos\theta \\ 2\cos\theta\sin\theta \\ 7\cos\theta + 3\sin\theta \end{pmatrix} \end{aligned}$$

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$$E_0 \leq E(2\cos\theta + \sin\theta)^2$$

Minimum when $2\cos\theta + \sin\theta = 0$
 $\Rightarrow \tan\theta = -2$

$$\therefore E_0 \leq 0$$

THE VARIATIONAL METHOD

Question: A particle in 1D has a Hamiltonian

$$H = \frac{p^2}{2m} + \lambda x^4$$

If we use a properly normalized trial wavefunction $\psi(x)$ for our variational method, what is the bound on E_0 ?

THE VARIATIONAL METHOD

Question: A particle in 1D has a Hamiltonian

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If we use a properly normalized trial wavefunction $\psi(x)$ for our variational method, what is the bound on E_0 ?

① $\int dx \lambda x^4 |\psi(x)|^2$

② $\boxed{\int dx \left\{ -\frac{\hbar^2}{2m} \psi^*(x) \psi''(x) + \lambda x^4 |\psi(x)|^2 \right\}}$

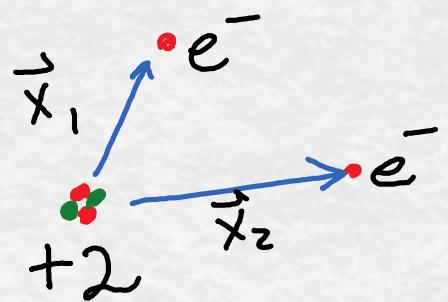
③ $\int dx \lambda |\psi(x)|^4$

④ $\frac{1}{2} + \omega$

$$\langle \Psi | p^2 | \Psi \rangle = \int dx \psi^*(x) \left(\frac{\hbar}{i} \frac{d}{dx} \right)^2 \psi(x)$$

$$\langle \Psi | x^4 | \Psi \rangle = \int dx \psi^*(x) \lambda x^4 \psi(x)$$

THE VARIATIONAL METHOD: Helium atom



Discussion questions (breakout groups)

- ① What is the "position space" description of the two electrons in a Helium atom?

e.g.: two wavefunctions $\psi_1(\vec{x}), \psi_2(\vec{x})$

one wavefunction $\psi(\vec{x}_1, \vec{x}_2)$

wavefunctions $\psi_1(\vec{x}_1, \vec{x}_2), \psi_2(\vec{x}_1, \vec{x}_2)$

Basis $|\vec{x}_1\rangle \otimes |\vec{x}_2\rangle$. Wavefn is coefficient of this state
in general superposition

- ② What is the Hamiltonian that describes the two electrons in a Helium atom?

(hint: it's also the energy operator)

(see next)

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Helium atom Hamiltonian:

$$H = \frac{\vec{p}_1^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1|}$$

$$+ \frac{\vec{p}_2^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_2|}$$

$$+ \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1 - \vec{x}_2|}$$

THE VARIATIONAL METHOD

Helium atom Hamiltonian:

$$H = \frac{P_1^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1|} \quad \left. \right\} \text{Hamiltonian for } \text{He}^+ \text{ ion}$$

$$+ \frac{P_2^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_2|}$$

$$+ \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1 - \vec{x}_2|}$$

$\begin{array}{c} \bullet e^- \\ +2 \end{array}$
Ground state is $\psi_{100}^z(\vec{x})$



THE VARIATIONAL METHOD

Helium atom Hamiltonian:

$$H = \frac{P_1^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1|} + \frac{P_2^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_2|} + \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1 - \vec{x}_2|}$$

Hamiltonian for He^+ ion

$\bullet e^-$
+2

Ground state is

$\psi_{100}^{z=2}(\vec{x}) = e^{-Zr/\alpha_N}$

Simple trial state: both electrons independently in this state.

$$\textcircled{1} \quad \psi(\vec{x}_1, \vec{x}_2) = \psi_{100}^{z=2}(\vec{x}_1) \psi_{100}^{z=2}(\vec{x}_2)$$

e.g. $P(A \text{ and } B) = P(A) \times P(B)$

Q: Does that mean:

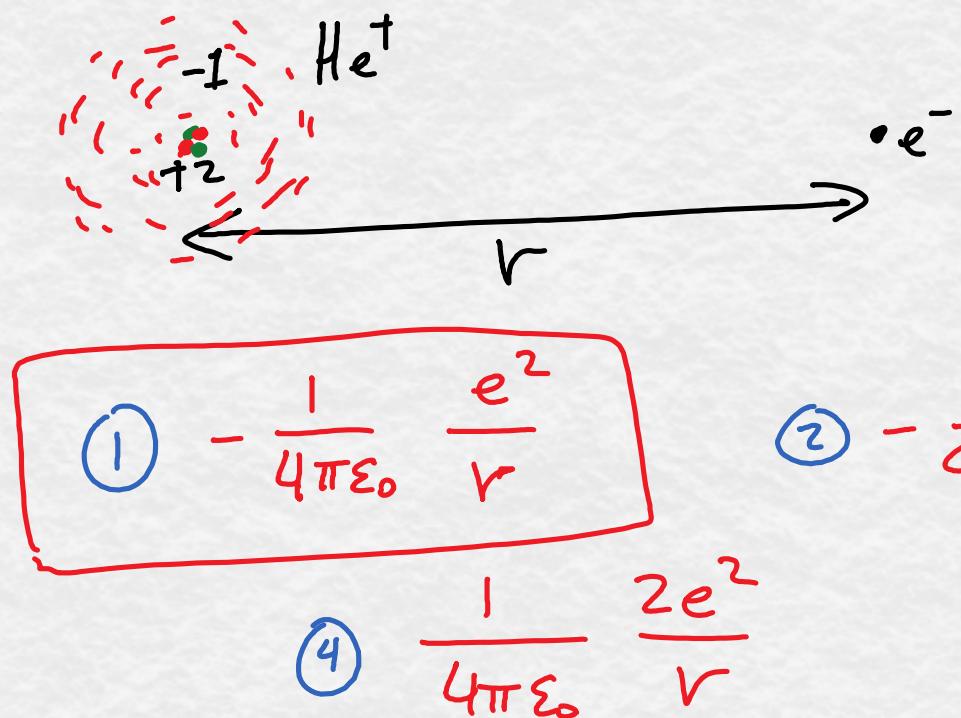
$$\textcircled{2} \quad \psi(\vec{x}_1, \vec{x}_2) = \psi_{100}^{z=2}(\vec{x}_1) + \psi_{100}^{z=2}(\vec{x}_2)$$

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TRIAL STATE #2: $\psi(\vec{x}_1, \vec{x}_2) = \sqrt{\zeta_{1,00}}(\vec{x}_1) \psi_{1,00}^z(\vec{x}_2)$

ζ ← arbitrary parameter.

Motivation:



If the first electron is in a spherically symmetric configuration about the He nucleus, what potential is felt by the second electron when it is far away?

① $-\frac{1}{4\pi\epsilon_0} \frac{e^2}{r}$

② $-\frac{1}{4\pi\epsilon_0} \frac{2e^2}{r}$

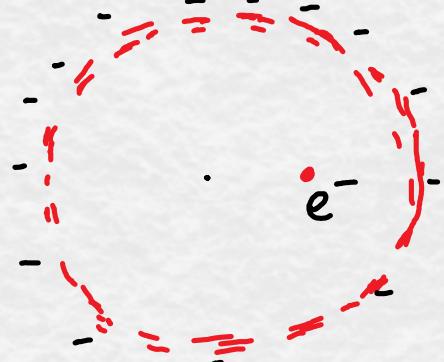
③ $\frac{1}{4\pi\epsilon_0} \frac{e^2}{r}$

④ $\frac{1}{4\pi\epsilon_0} \frac{2e^2}{r}$

⑤ \emptyset

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Q: The electron shown is inside a spherically symmetric shell of negative charge.



The force felt by the electron is

- ① To the left
- ② To the right
- ③ Zero.

Shell theorem
from classical
electrostatics

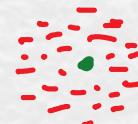
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$$+ \frac{\vec{p}_2^2}{2m} - \frac{2e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_2|}$$

$$+ \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\vec{x}_1 - \vec{x}_2|}$$



Summary: each electron partly "screens" charge of nucleus; this motivates trial wavefn.

$$\psi_{100}^z(\vec{x}_1) \psi_{100}^z(\vec{x}_2) \quad 1 \leq z \leq 2$$