

Phys529B: Topics of Quantum Theory

Lecture 8: interacting fermions and Non-Fermi liquid

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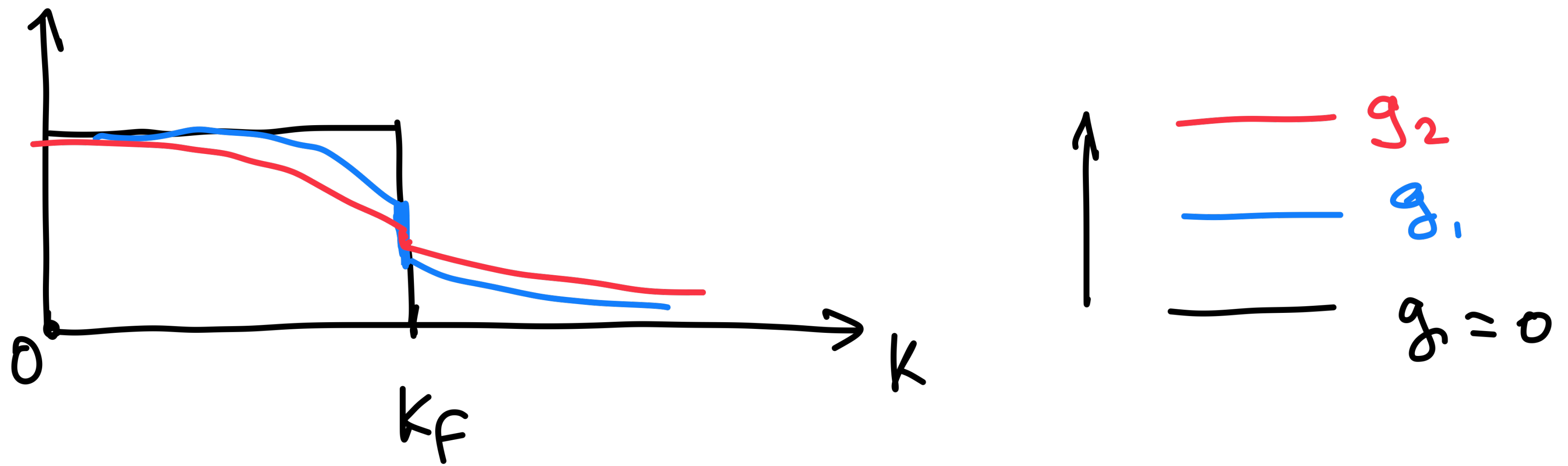
F.L. $G_B = \frac{\text{bubble diagram}}{1 - g \text{ bubble diagram}}$

$$\underbrace{1 - g \text{ bubble diagram}}_{\text{Real if } \Omega > v_F Q} = 1 - g A(k_F) \left\{ 1 + \frac{\Omega}{v_F Q} \ln \frac{\Omega + v_F Q}{\Omega - v_F Q} \right\} = 0$$

Real if $\Omega > v_F Q$

$$g \rightarrow 0, \quad \Omega - v_F Q \cong 2v_F Q e^{-\frac{1}{g A(k_F)}}$$

- Sum rule: $-\frac{1}{\pi} \int d\omega \mathcal{I}m G_R(\mathbf{k}, \omega) = 1$

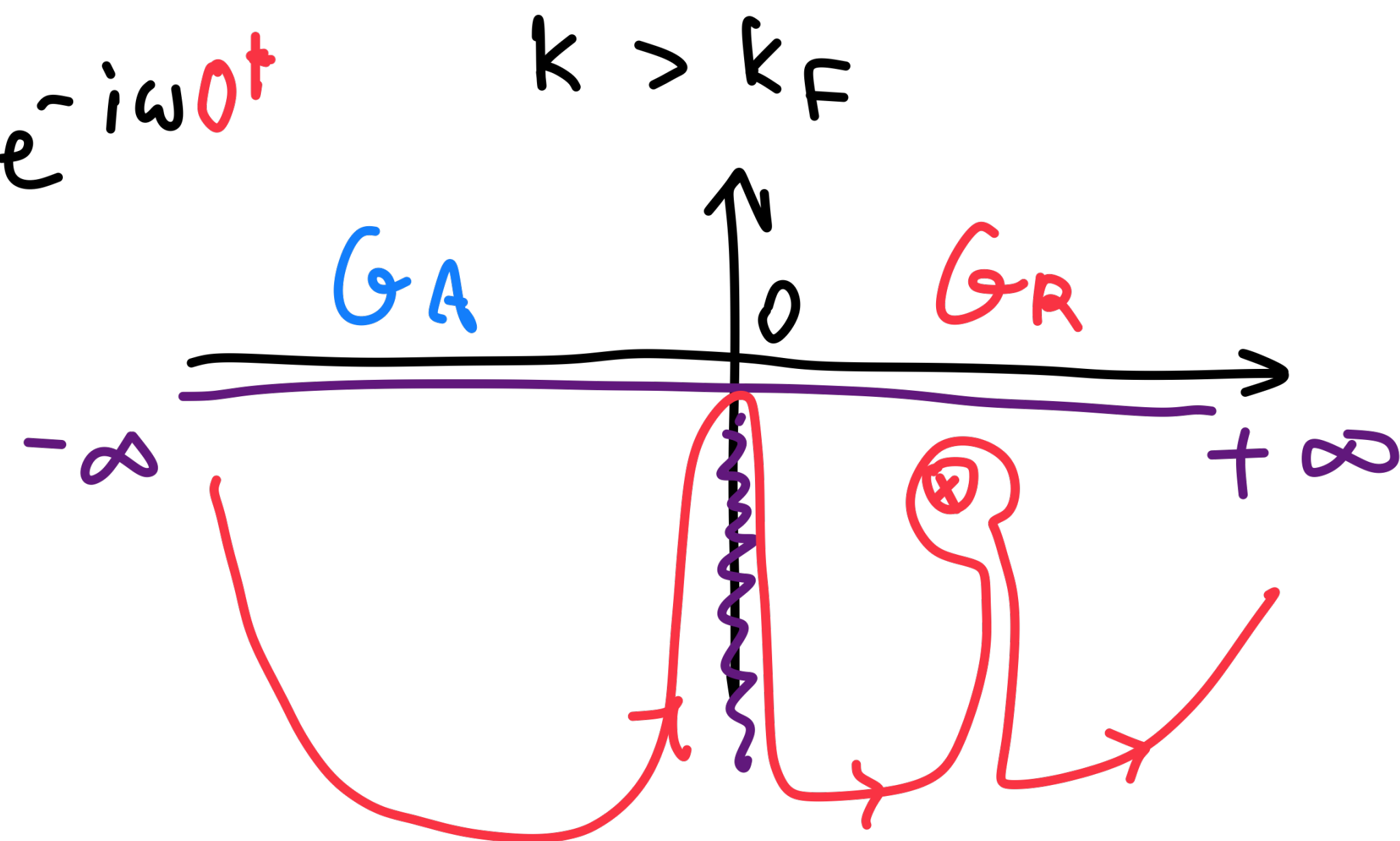
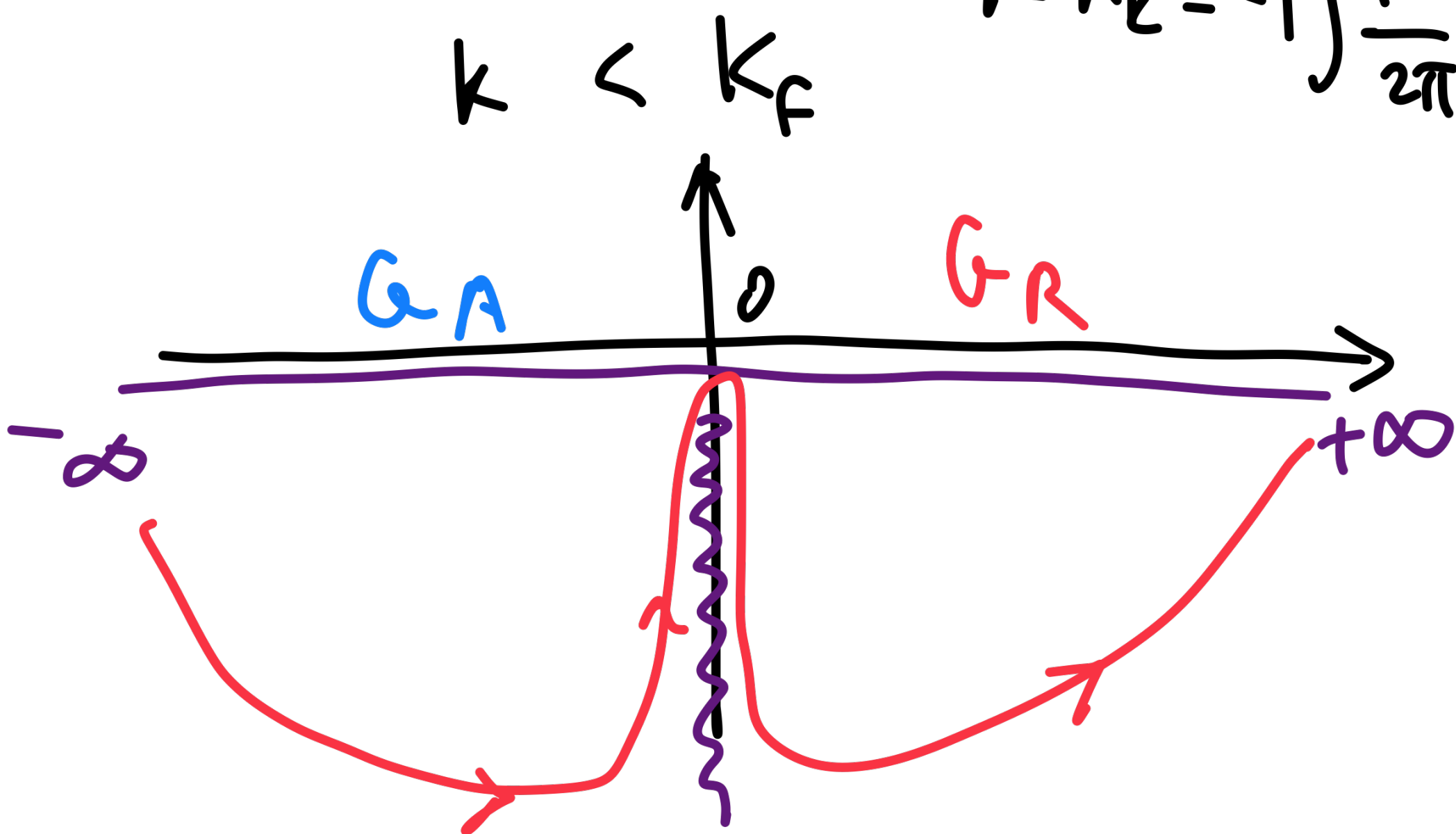


Luttinger theorem (phase space invariance)

$$\oint \frac{d^3 p}{(2\pi)^3} = n_0 \quad \swarrow \text{density} = \frac{1}{6\pi^2} k_F^3$$

$$G(\vec{p}, \omega=0) > 0$$

$$1 - n_k = -i \int \frac{d\omega}{2\pi} G(k, \omega) e^{-i\omega 0^+}$$



Res $G_R(k, \omega > 0)$ at poles with $\omega > 0$

$$\delta n = n(k = k_F + 0^-) - n(k = k_F + 0^+) = Z(k_F + 0^+, \omega > 0) - Z(k_F + 0^-, \omega > 0)$$

For F.L., $Z(k = k_F + 0^-) = 0$,

$$\delta n = Z(k = k_F + 0^+).$$

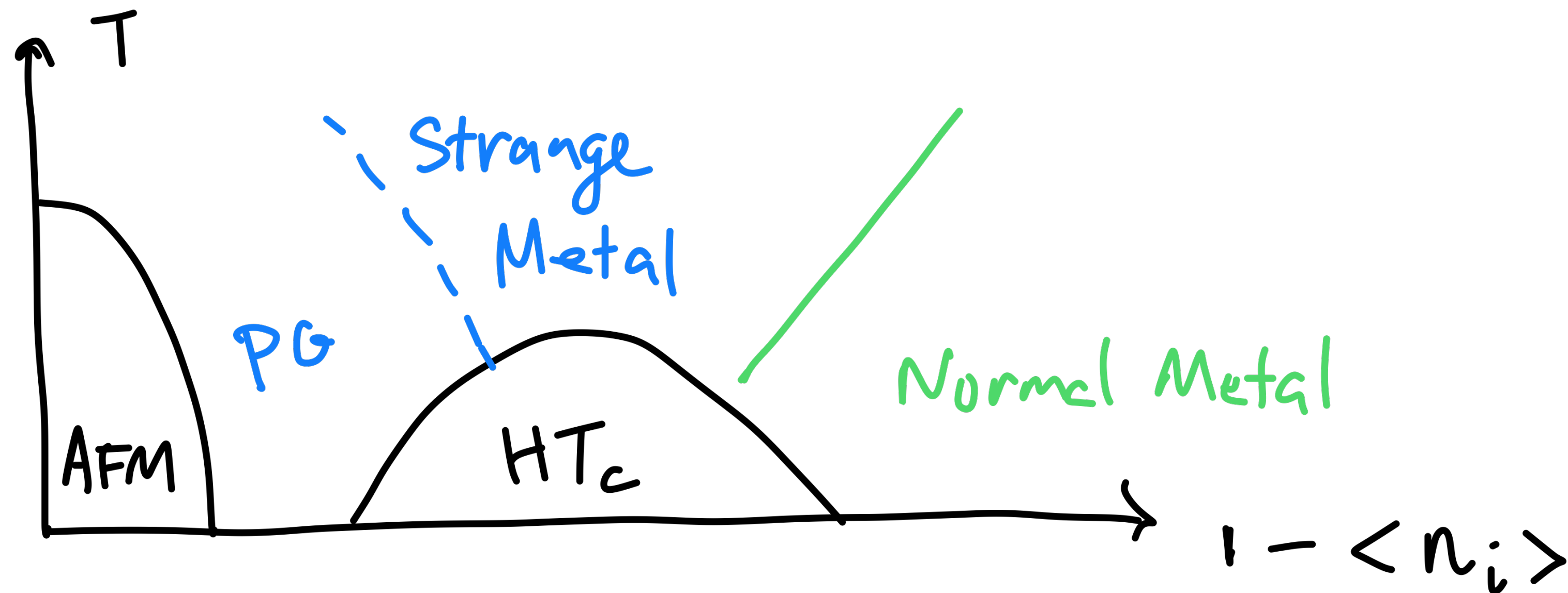
- NFL phenomenology
- 1) Z vanishes at Fermi surfaces and no quasi-particles.
- 2) Z is finite across Fermi surface but Z on two sides of the Fermi surface cancels. I.e. $Z(k, \omega > 0)$ is continuous across a Fermi surface near $k=k_F$. For given momentum and orbital, quasi-particle and hole co-exist.

Hubbard Model

$$n_i = \sum_{\sigma=\pm} a_{i\sigma}^\dagger a_{i\sigma}$$

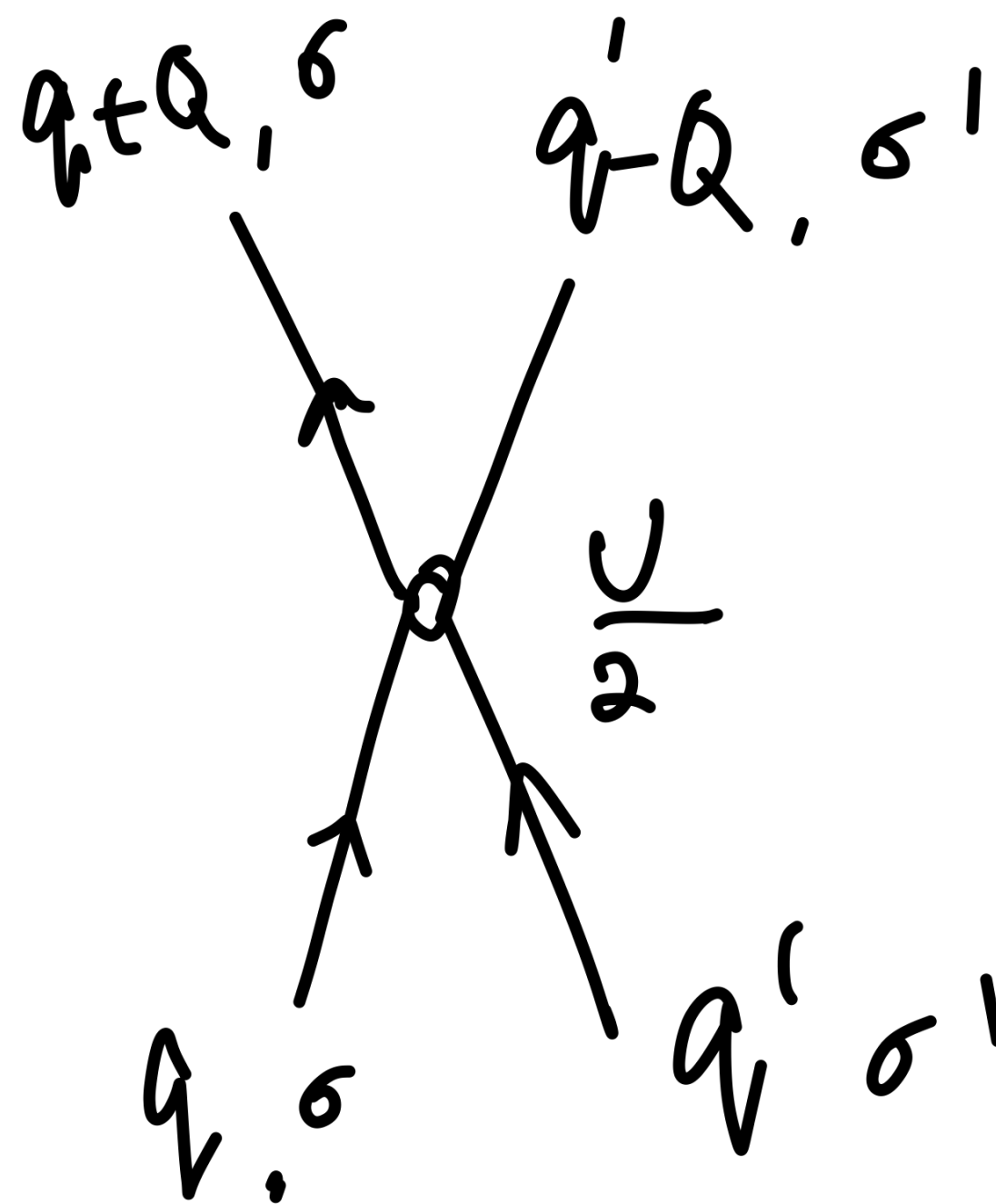
$$H = -t \underbrace{\sum_{\langle ij \rangle, \sigma} a_{i\sigma}^\dagger a_{j\sigma}}_K + \underbrace{\frac{U}{2} \sum_i n_i (n_i - 1)}_U$$

$\langle n_i \rangle = 1$ is half-filling. $\langle n_i \rangle = 2$ Completely filled.

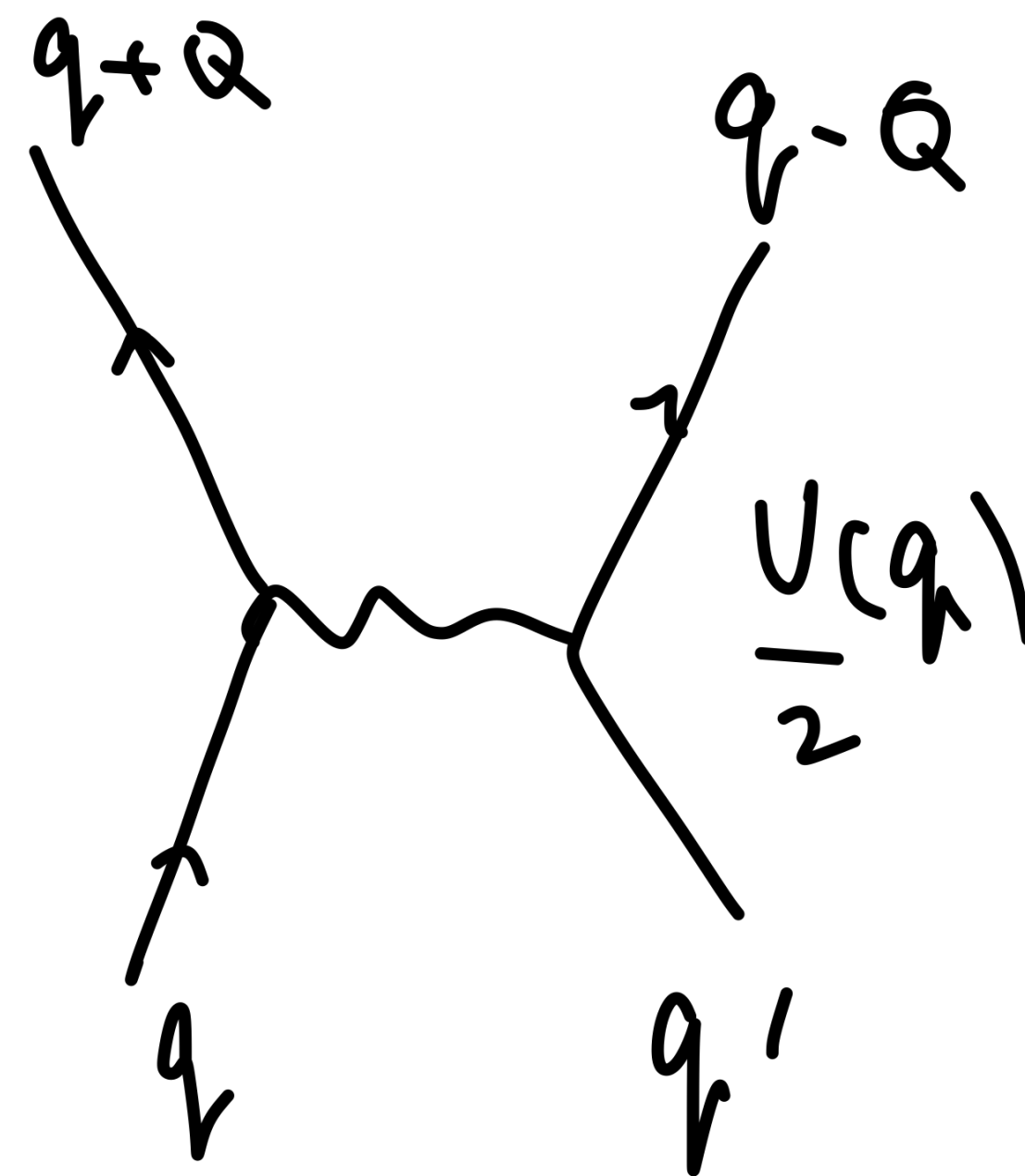


k - Space

$$H - \mu N = \sum_k a_k^\dagger a_k \left(\epsilon_k - \frac{V}{2} - \mu \right) + \frac{V}{2\Omega} \sum_{\mathbf{q}, \mathbf{q}'} a_{\mathbf{q}+\mathbf{Q}}^\dagger a_{\mathbf{q}} a_{\mathbf{q}'-\mathbf{Q}}^\dagger a_{\mathbf{q}'}$$



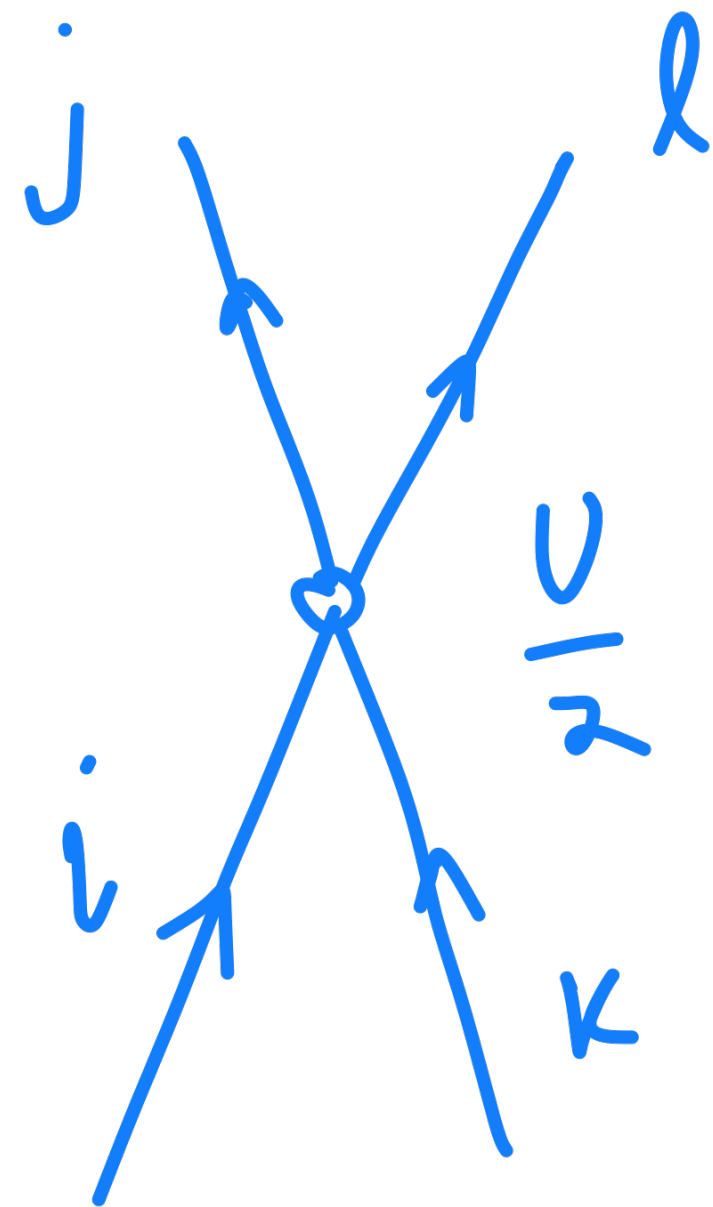
"
On-site interaction



"
Non-Local / long Range"

HK Model (Hatsugai-Kohmoto)

$$H = -t \sum_{\langle ij \rangle} a_i^\dagger a_j + \text{h.c.} + \frac{U}{2} \sum_{ijkl} a_i^\dagger a_j a_k^\dagger a_l \cdot \delta_{i+k, j+l}$$



local in k-space

$$H = \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \mu) + \sum_{\mathbf{k}} \frac{U}{2} n_{\mathbf{k}} n_{\mathbf{k}}$$

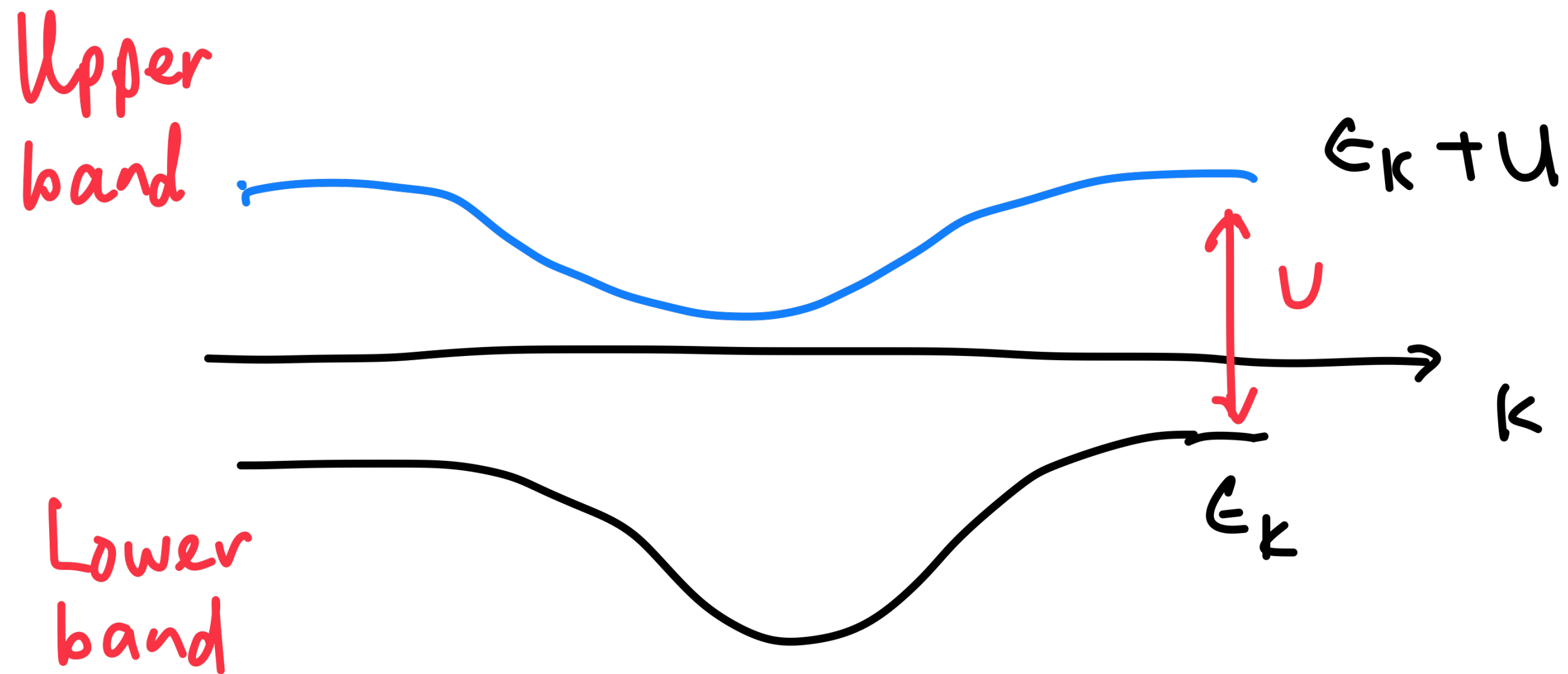
$\swarrow$
 $n_{\mathbf{k}} (n_{\mathbf{k}} - 1)$

$$= \sum_{\mathbf{k}} H_{\mathbf{k}}, \quad [H_{\mathbf{k}}, H_{\mathbf{k}'}] = 0$$

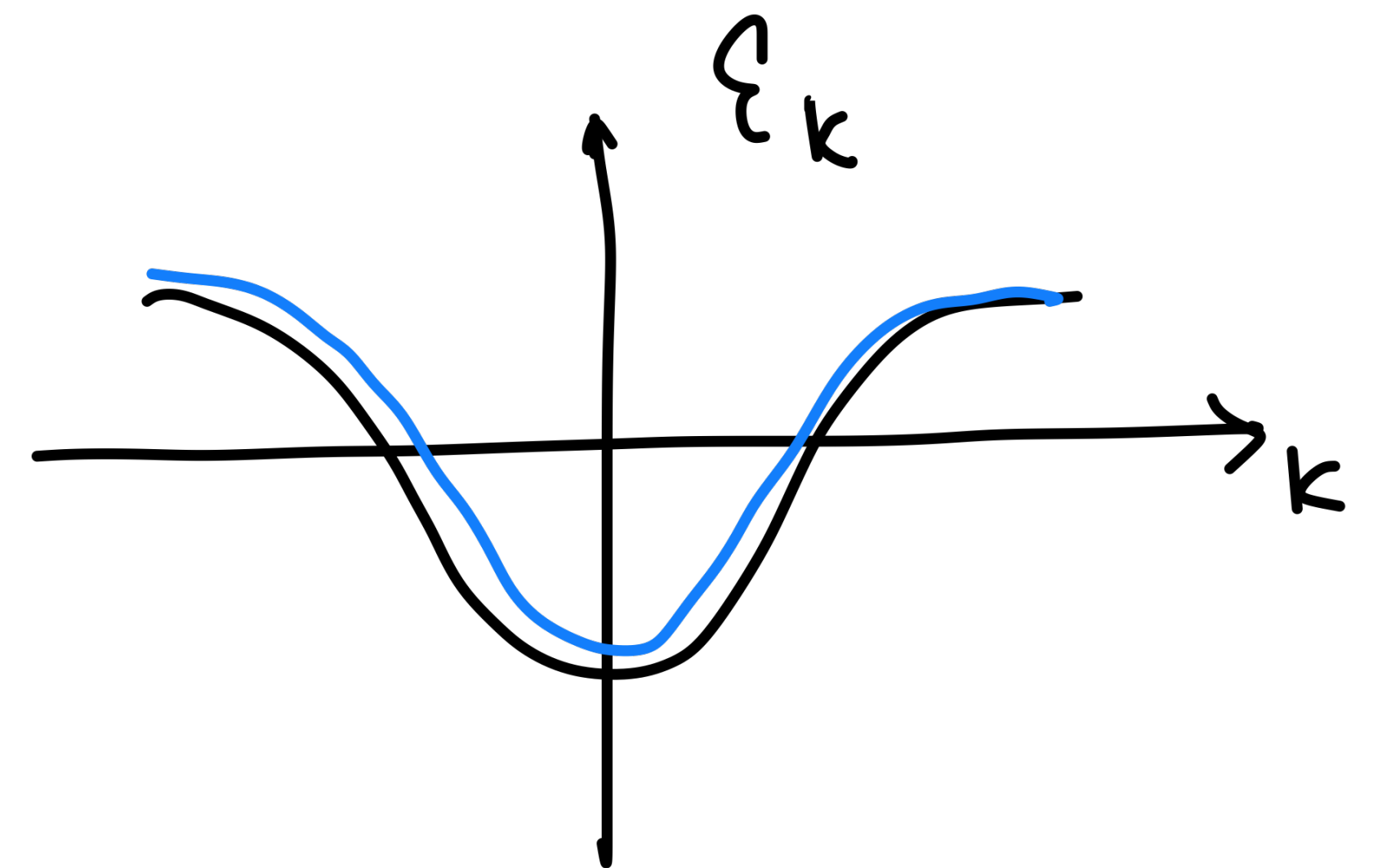
$$H = \sum_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \mu) + \sum_{\mathbf{k}} \frac{U}{2} n_{\mathbf{k}} (n_{\mathbf{k}} - 1), \quad [n_{\mathbf{k}}, H] = 0$$

$$\langle n_{\mathbf{k}} \rangle = 1, \text{ half-filling}$$

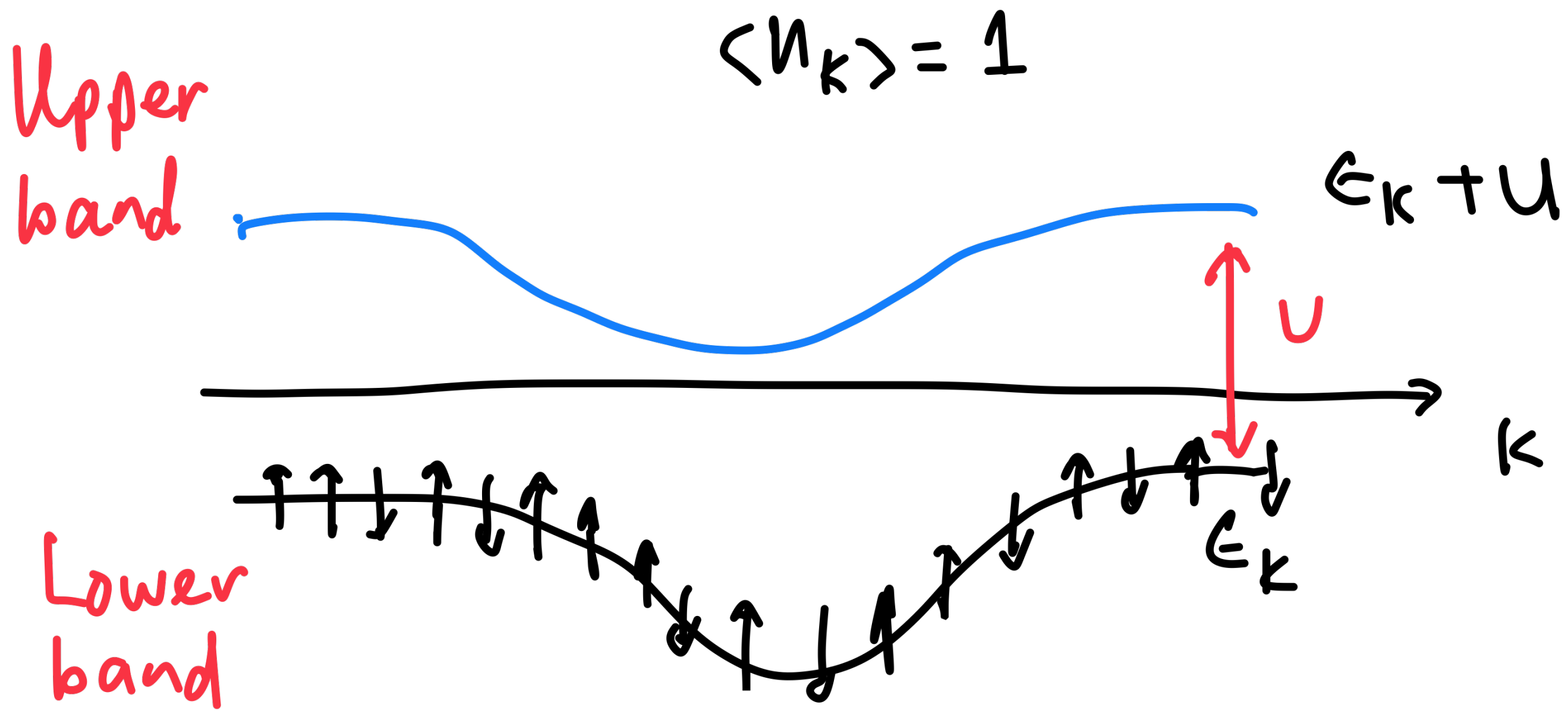
$$\epsilon_{\mathbf{k}} = -t (\cos k_x + \cos k_y + \cos k_z)$$



$$U > 2t$$



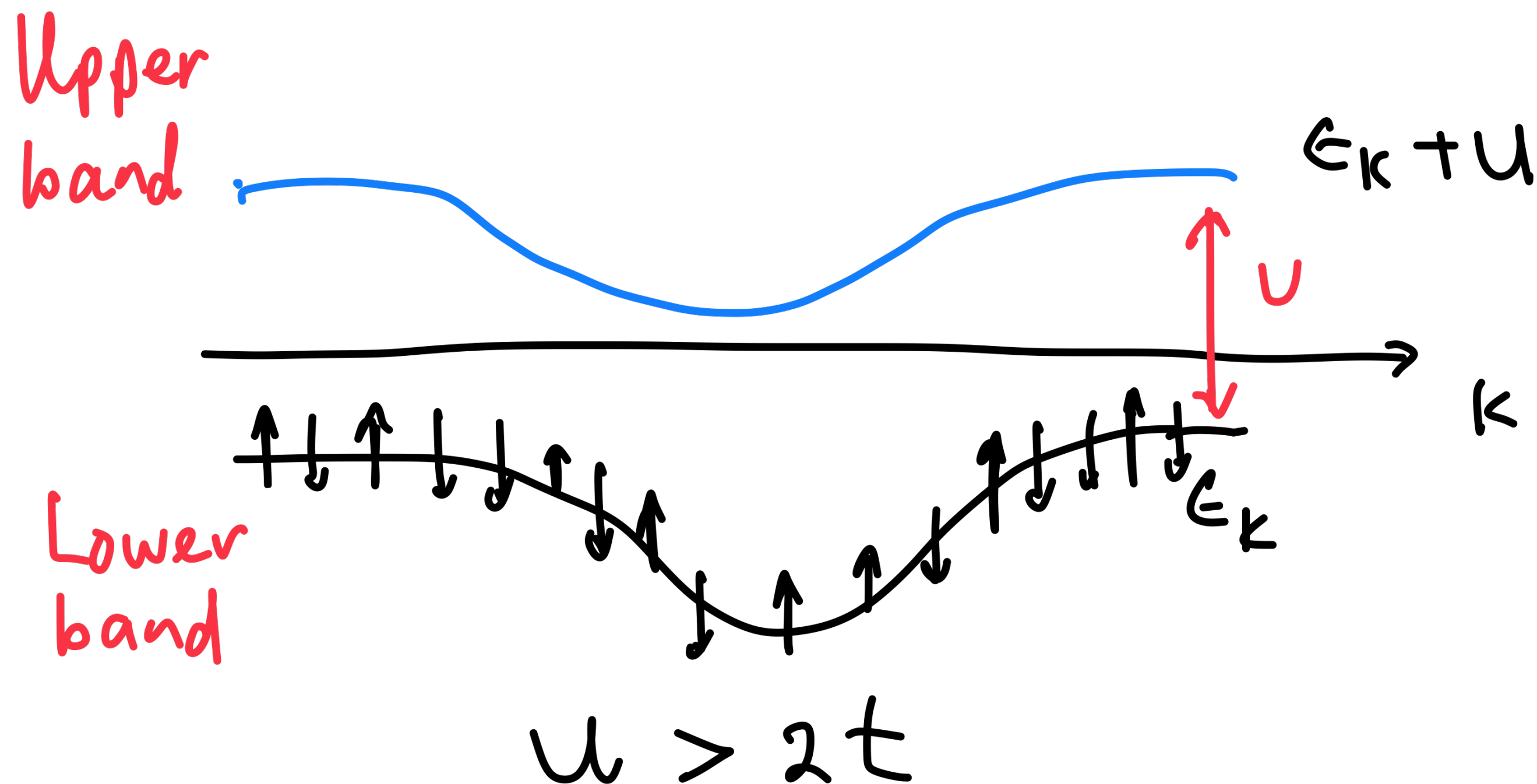
$$U = 0$$



each k -state: $|\uparrow\rangle$ or $|\downarrow\rangle$
 2-fold

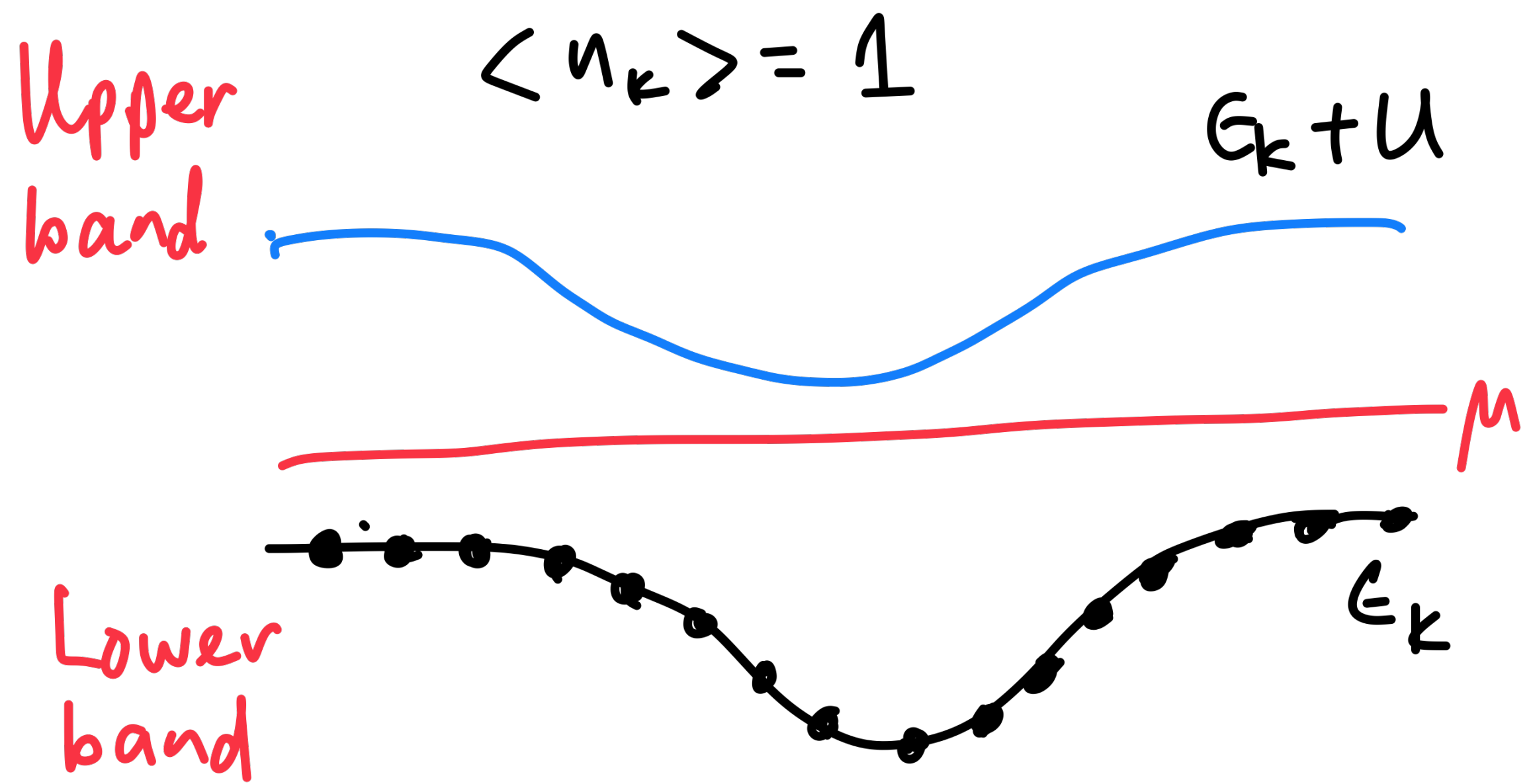
Ground State: 2^N -fold

N = Number of fermions

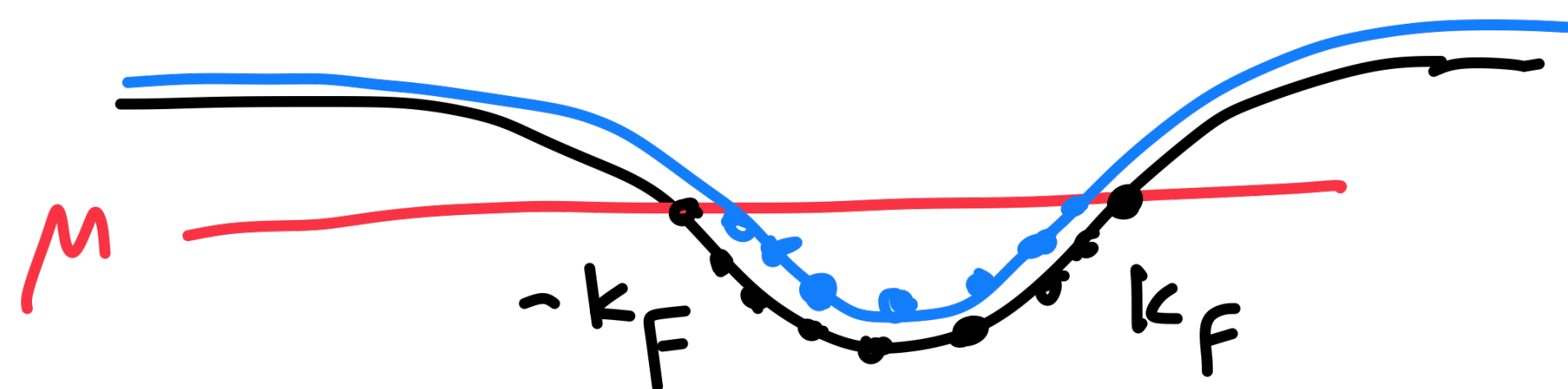


$\langle S_z \rangle = 0$ for any k .

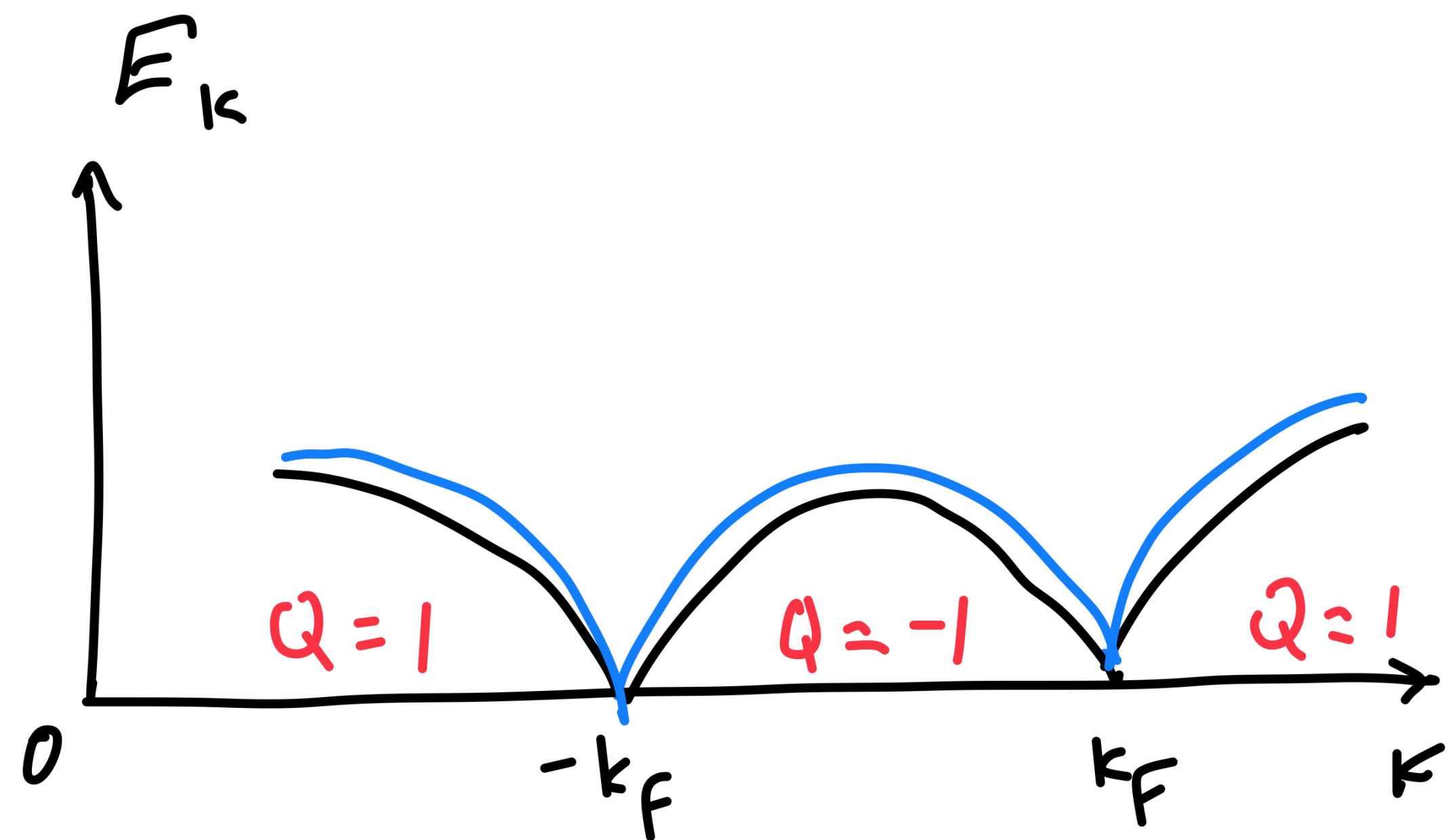
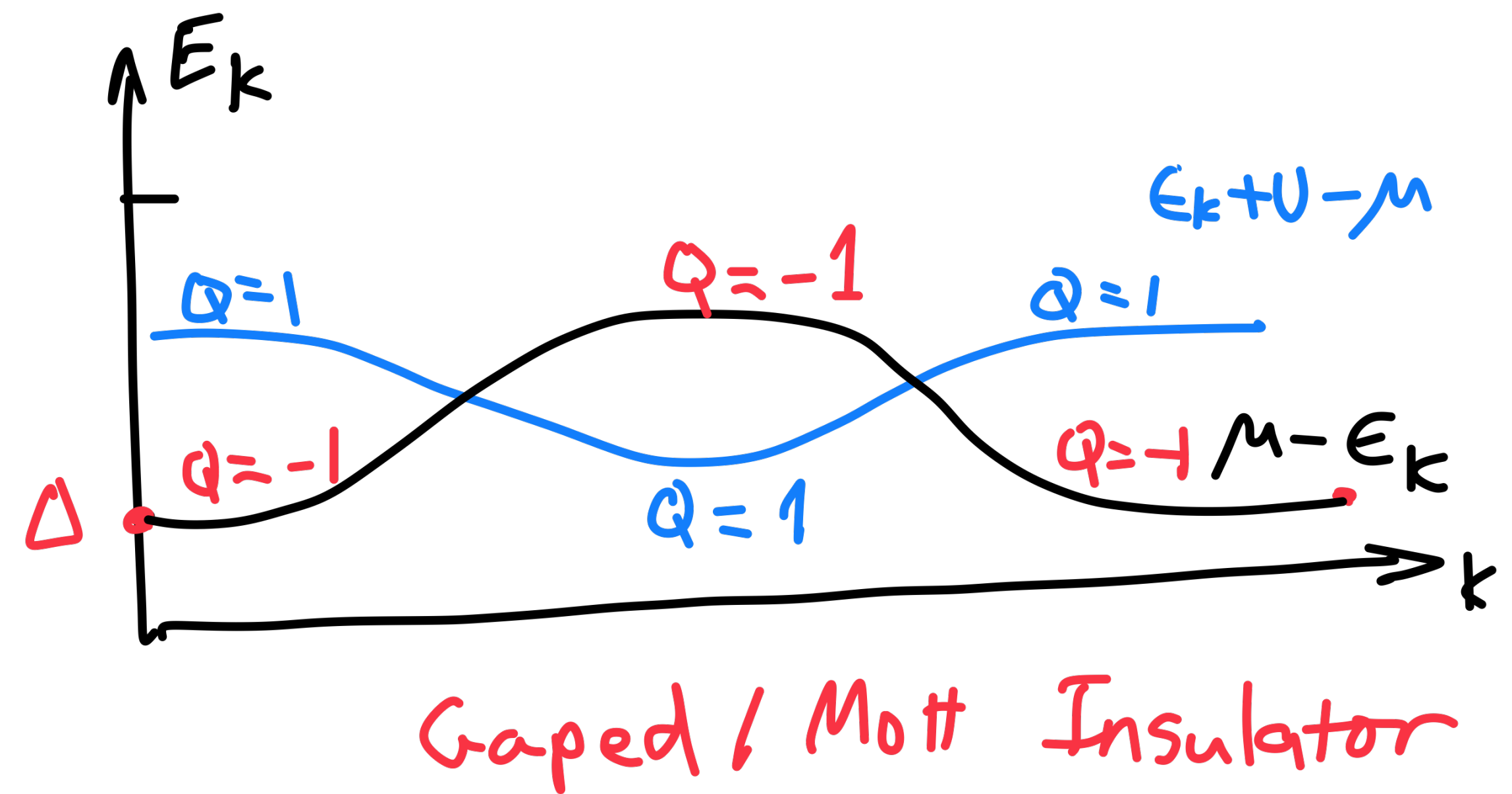
Ground State Non-deg.
 when $U = 0$

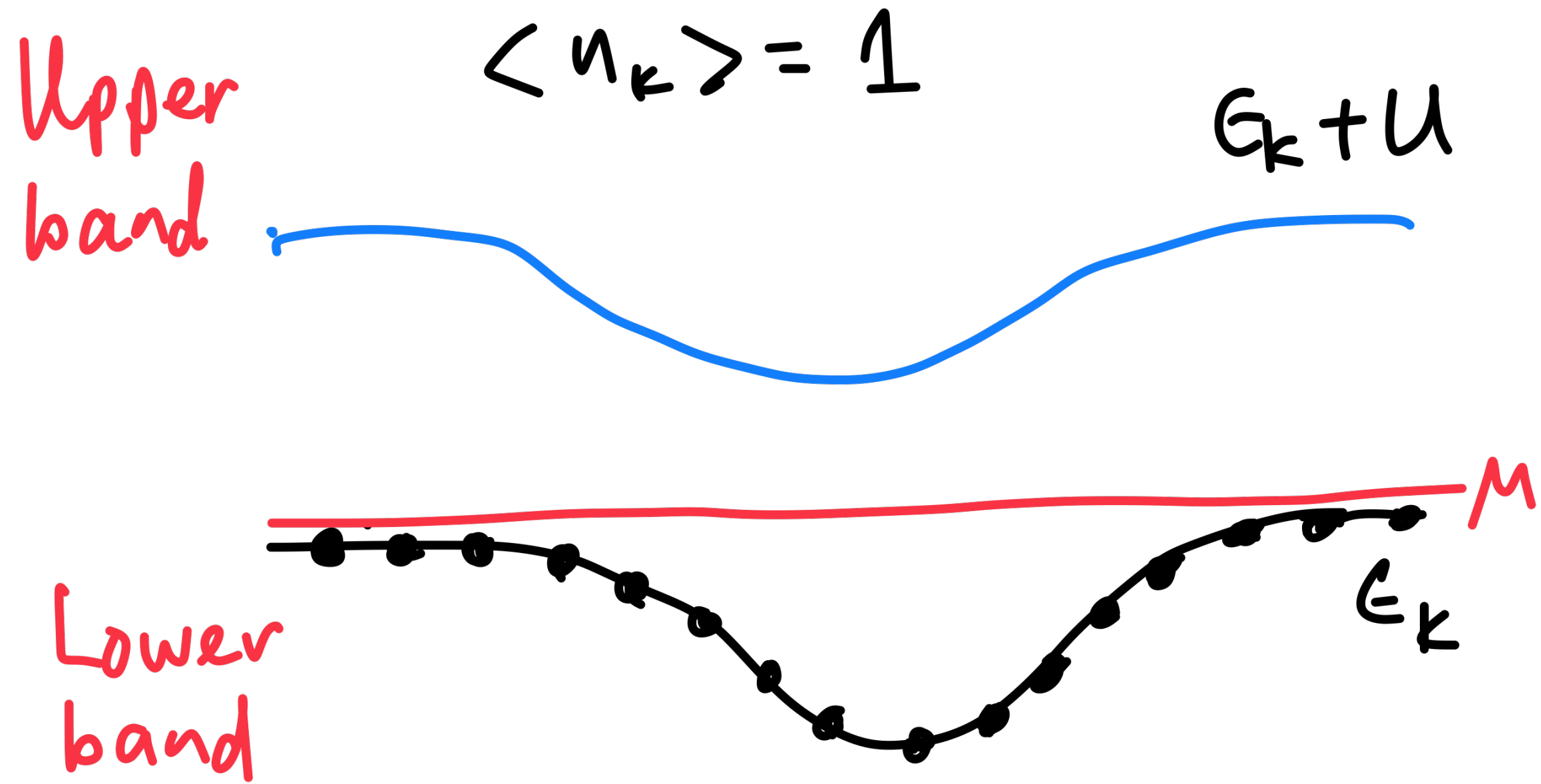


$$U > 2t$$

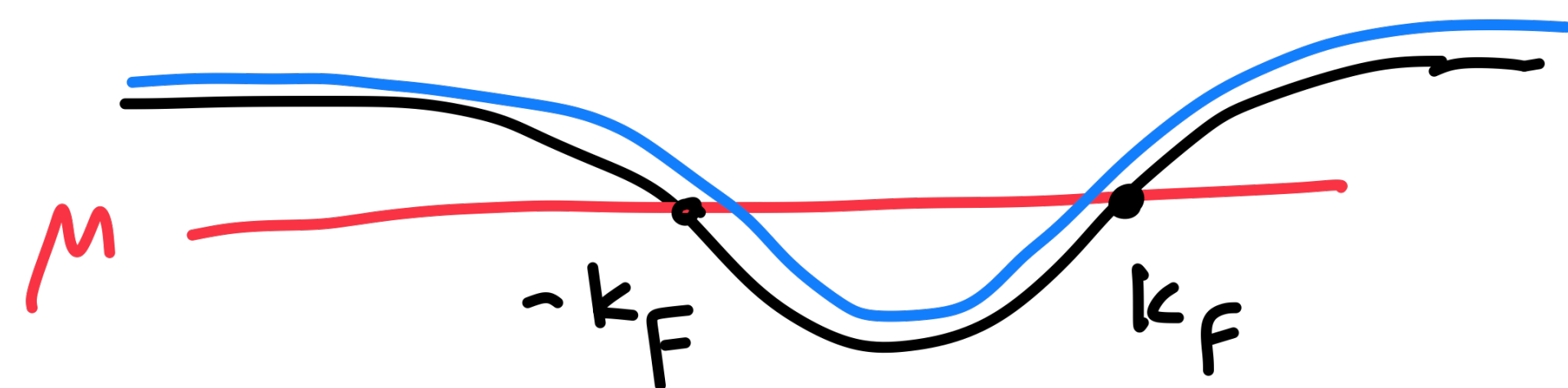


$$U = 0$$

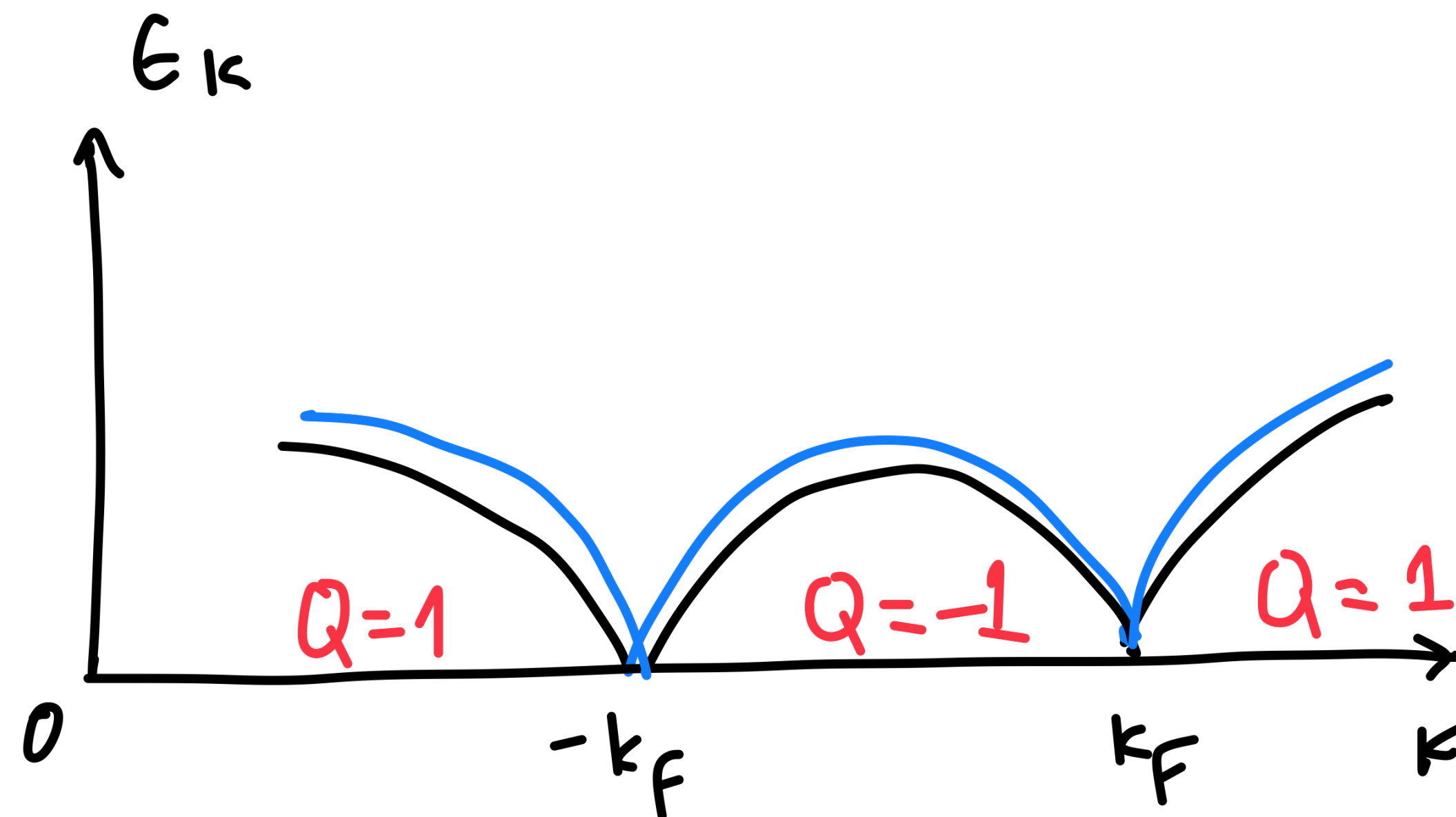
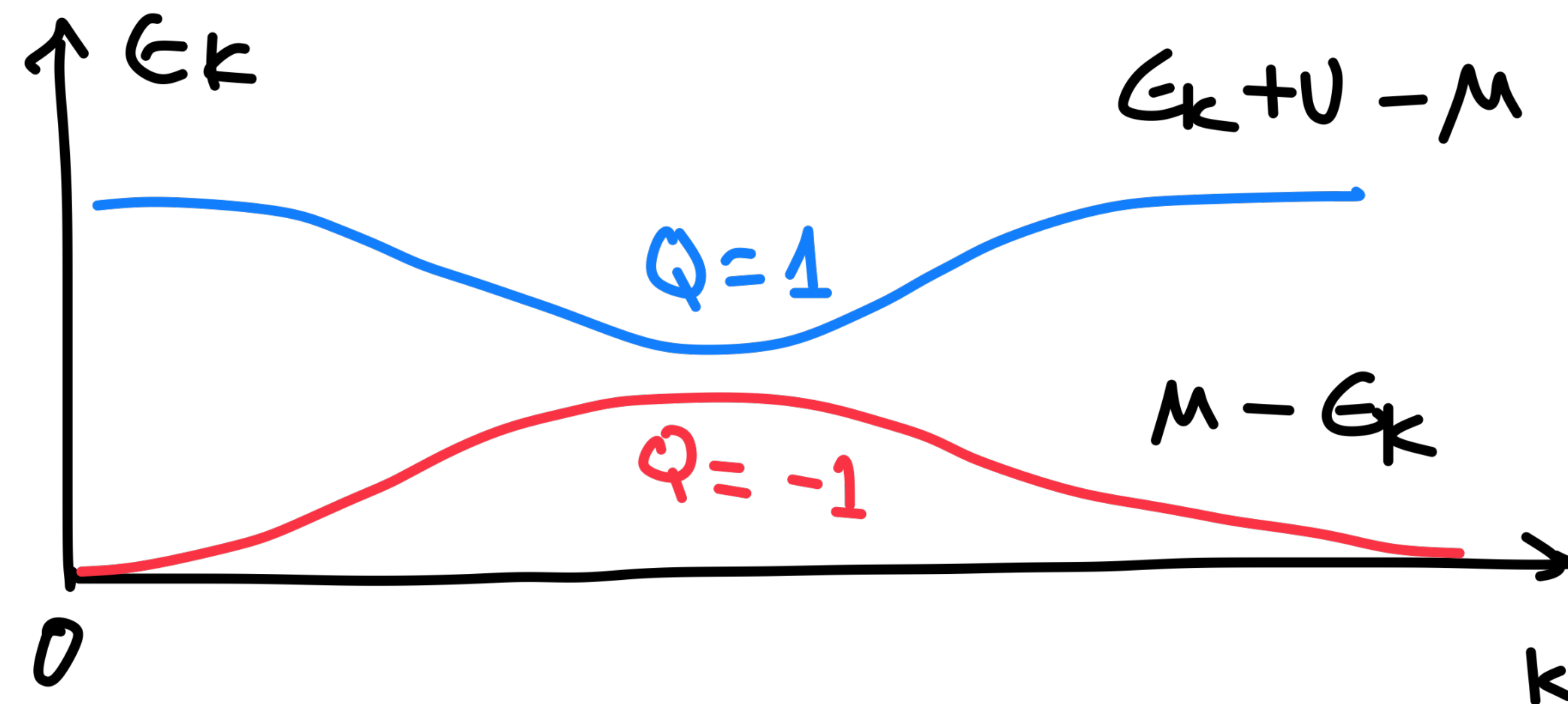




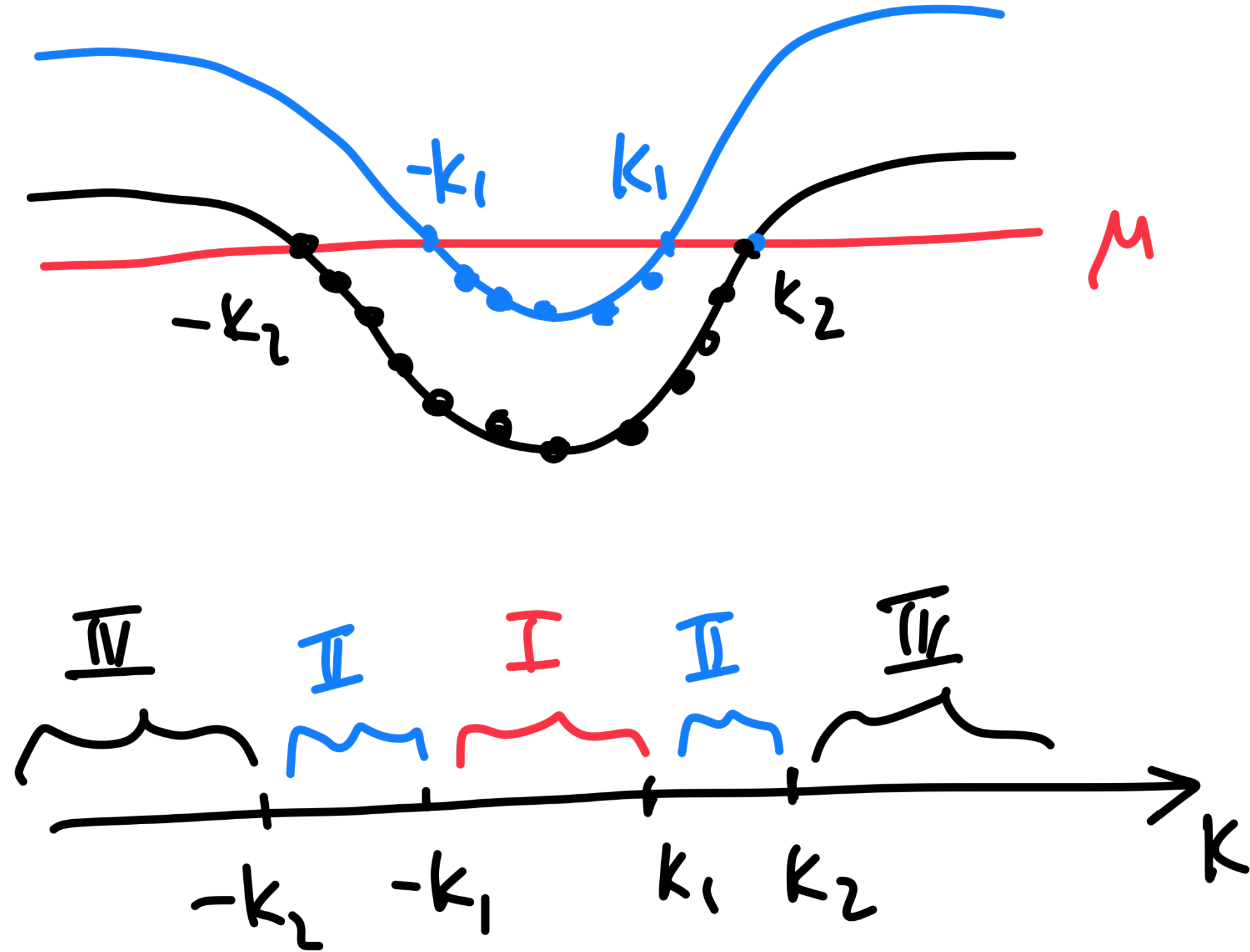
$$U > 2t$$



$$U = 0$$



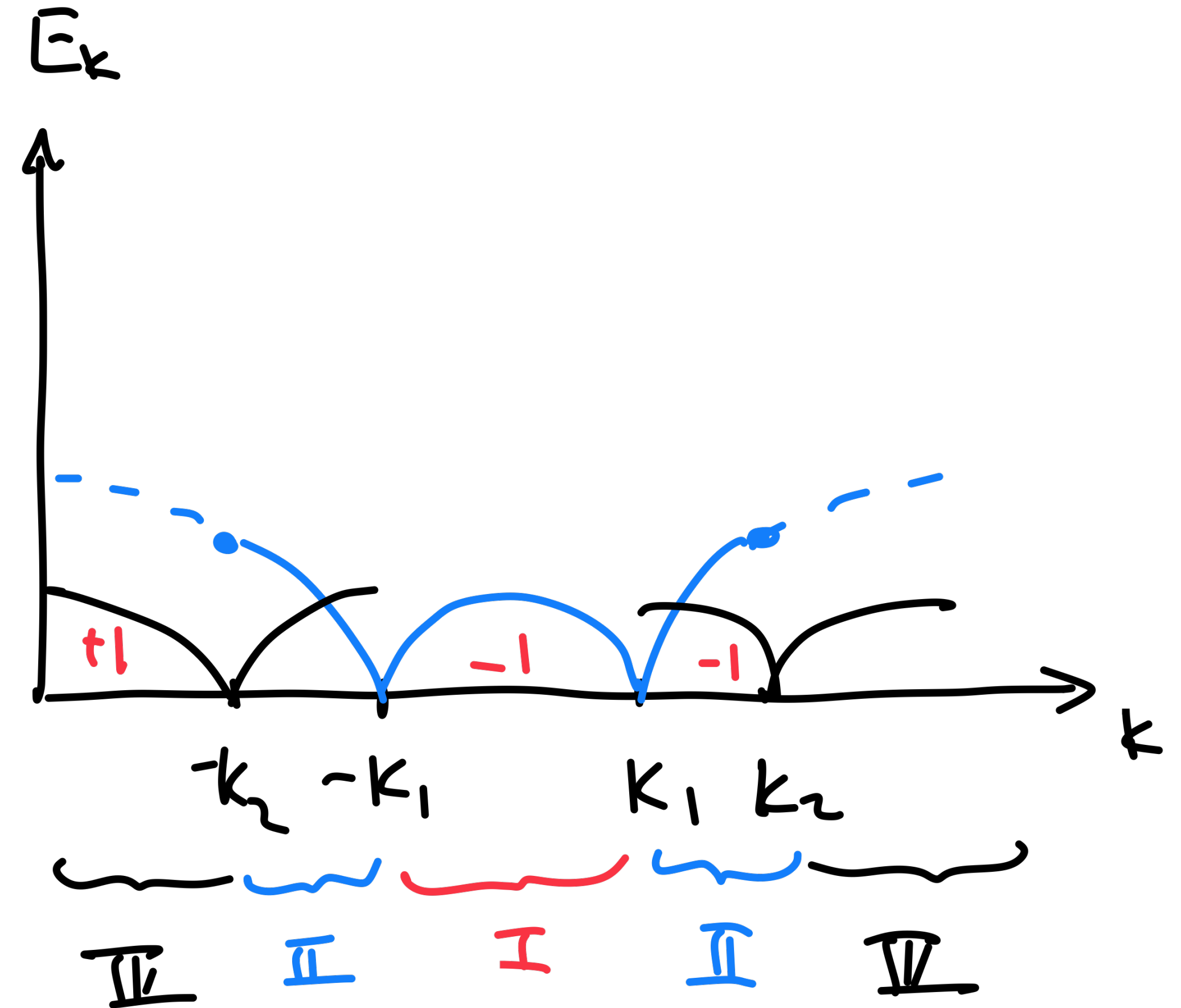
$$U < 2t$$



$$IV: n_k = 0$$

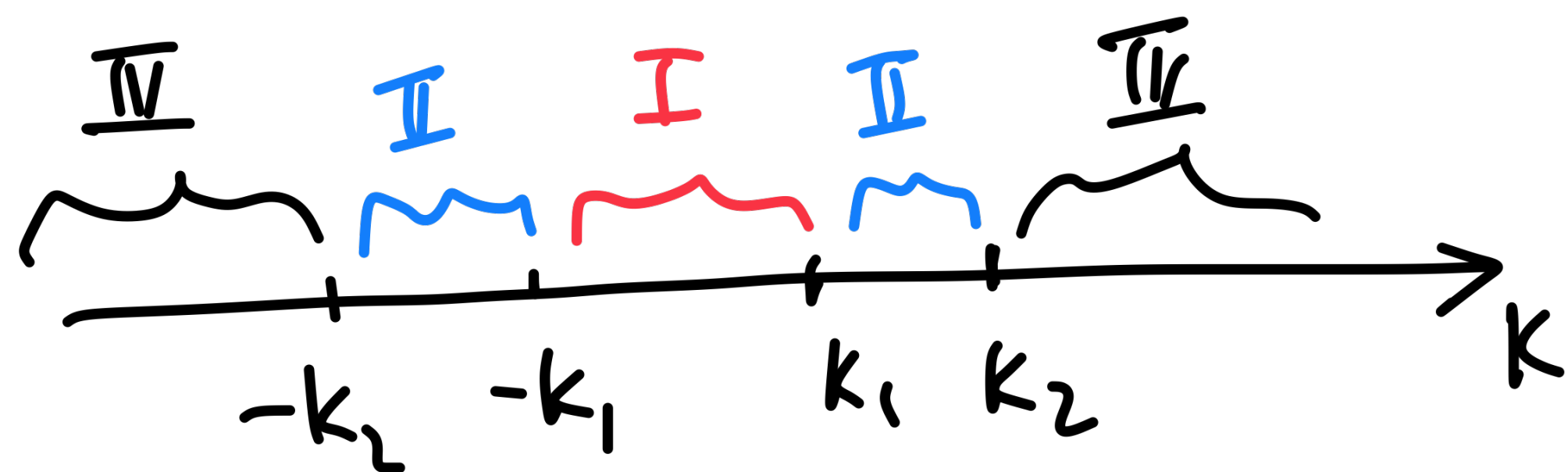
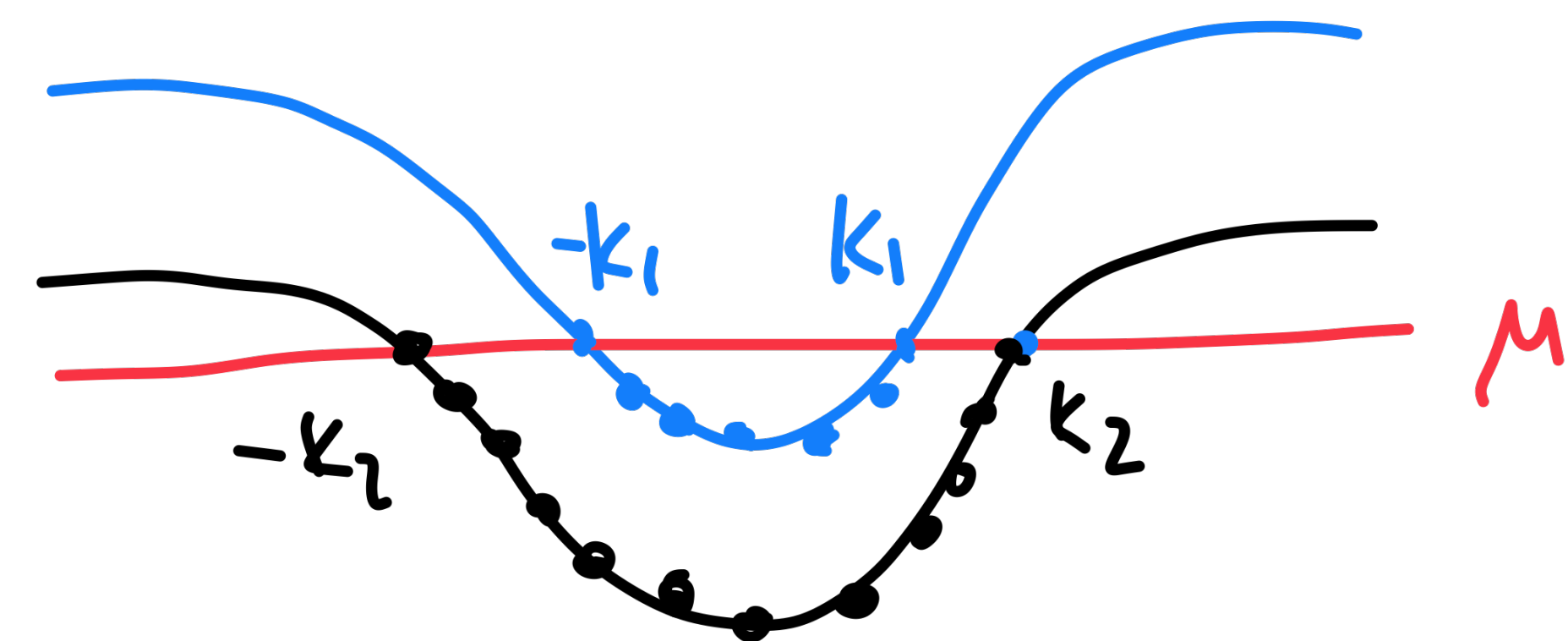
$$II: n_k = 1$$

$$I: n_k = 2$$



$$Q = +1, \quad \pm 1, \quad -1, \quad \pm 1, \quad +1$$

$$U < 2t$$



$$\text{IV: } n_k = 0$$

$$\text{II: } n_k = 1$$

$$\text{I: } n_k = 2$$

$$\sum_k n_k \Theta(k_2 - k) = N_L(k_2)$$

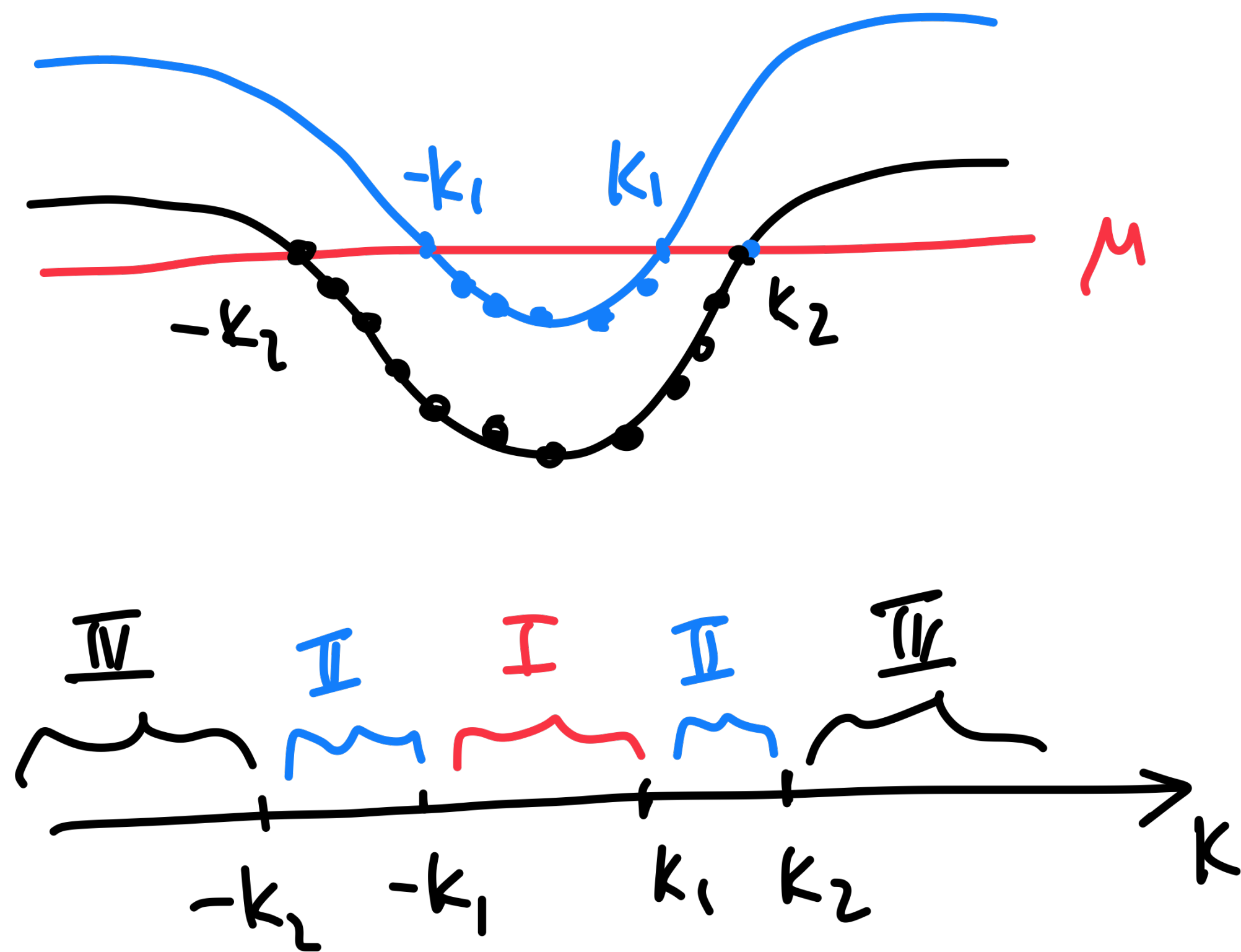
$$\sum_k n_k \Theta(k_1 - k) = N_u(k_1)$$

$$N_L(k_2) > \frac{N_L(k_2) + N_u(k_1)}{2} > N_u(k_1)$$

$$N_L(k_2) > N(k_F) > N_u(k_1)$$

$$k_2 > k_F > k_1$$

$$U < 2t$$

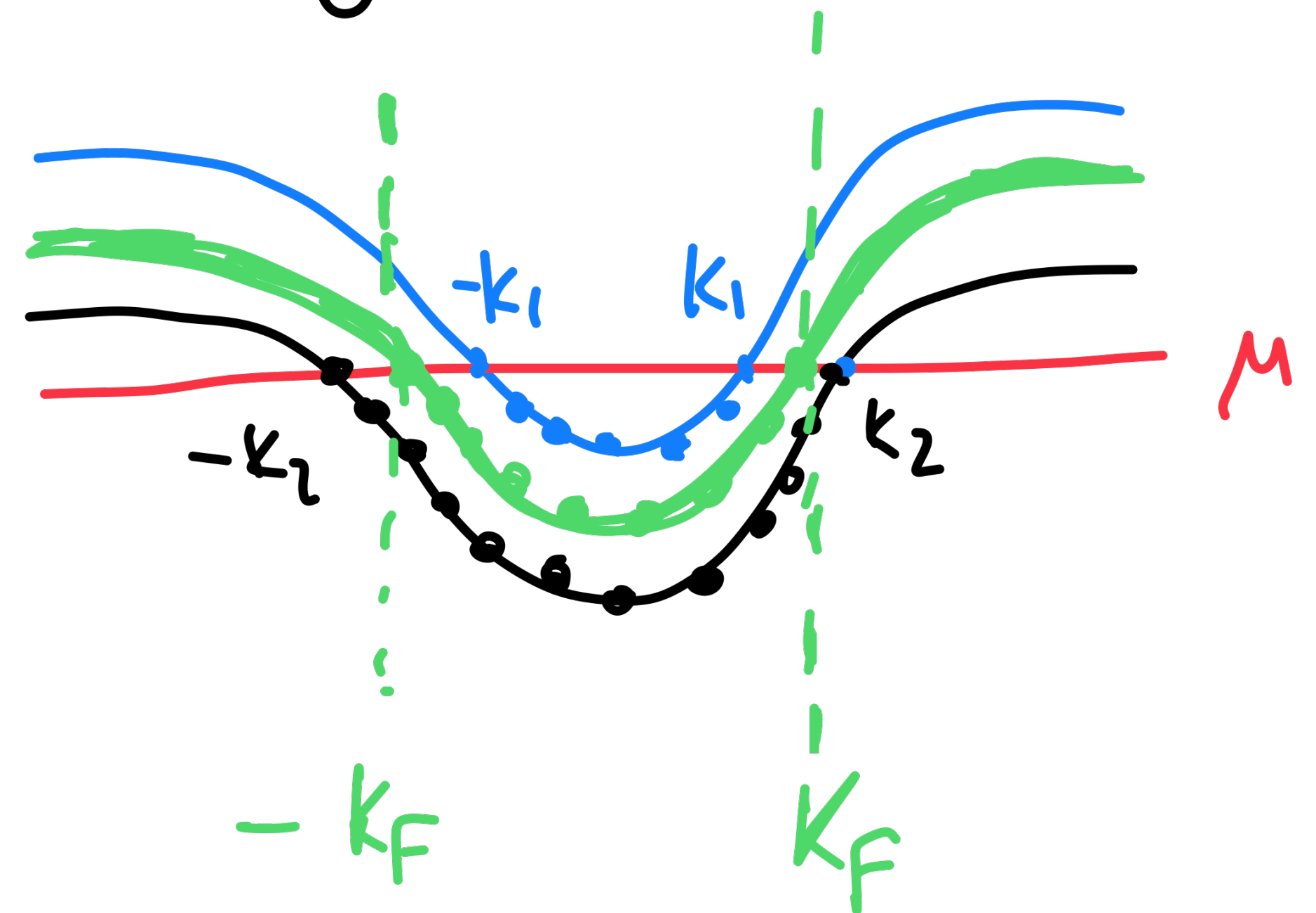


$$\text{IV: } n_k = 0$$

$$\text{II: } n_k = 1$$

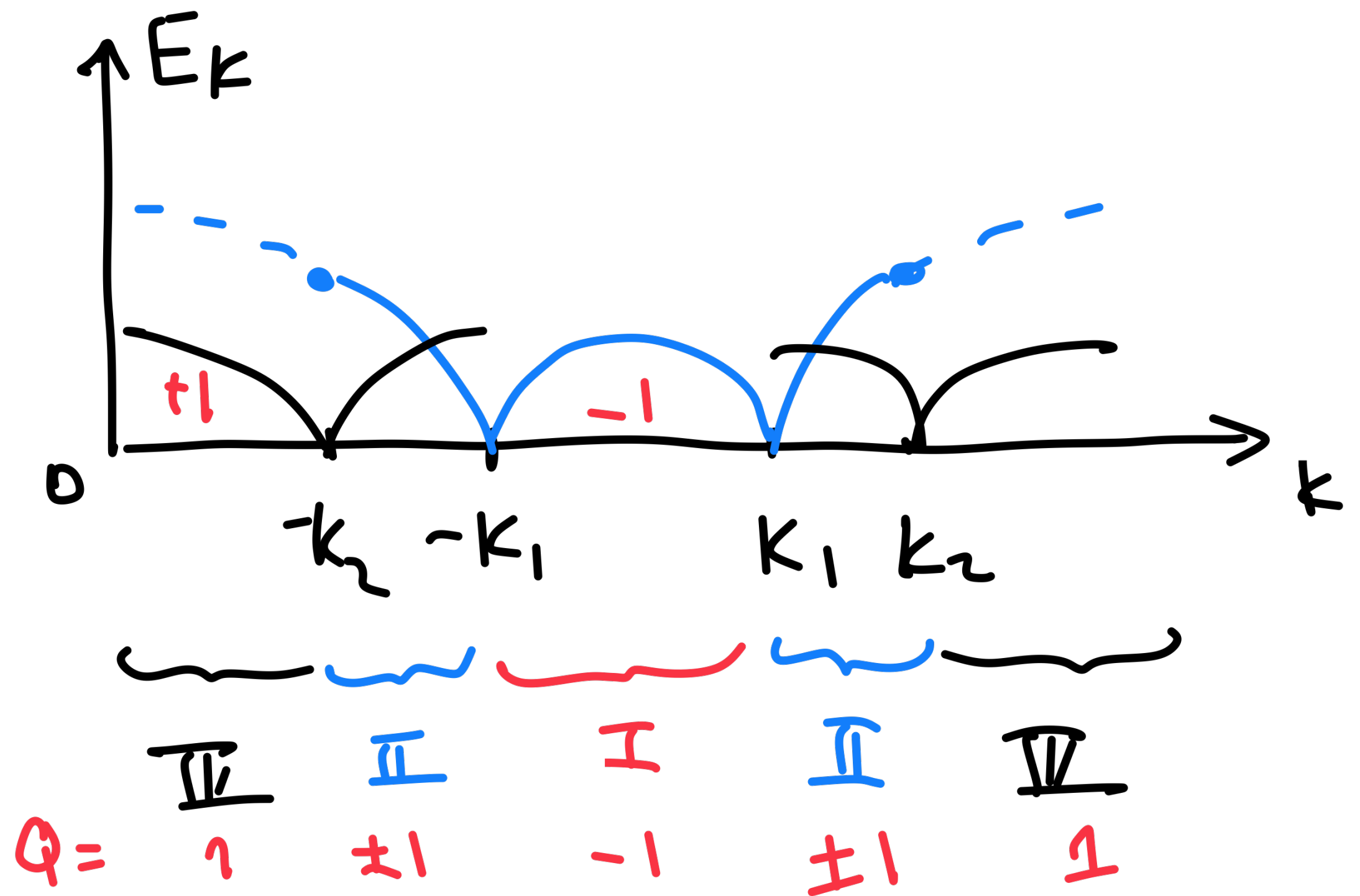
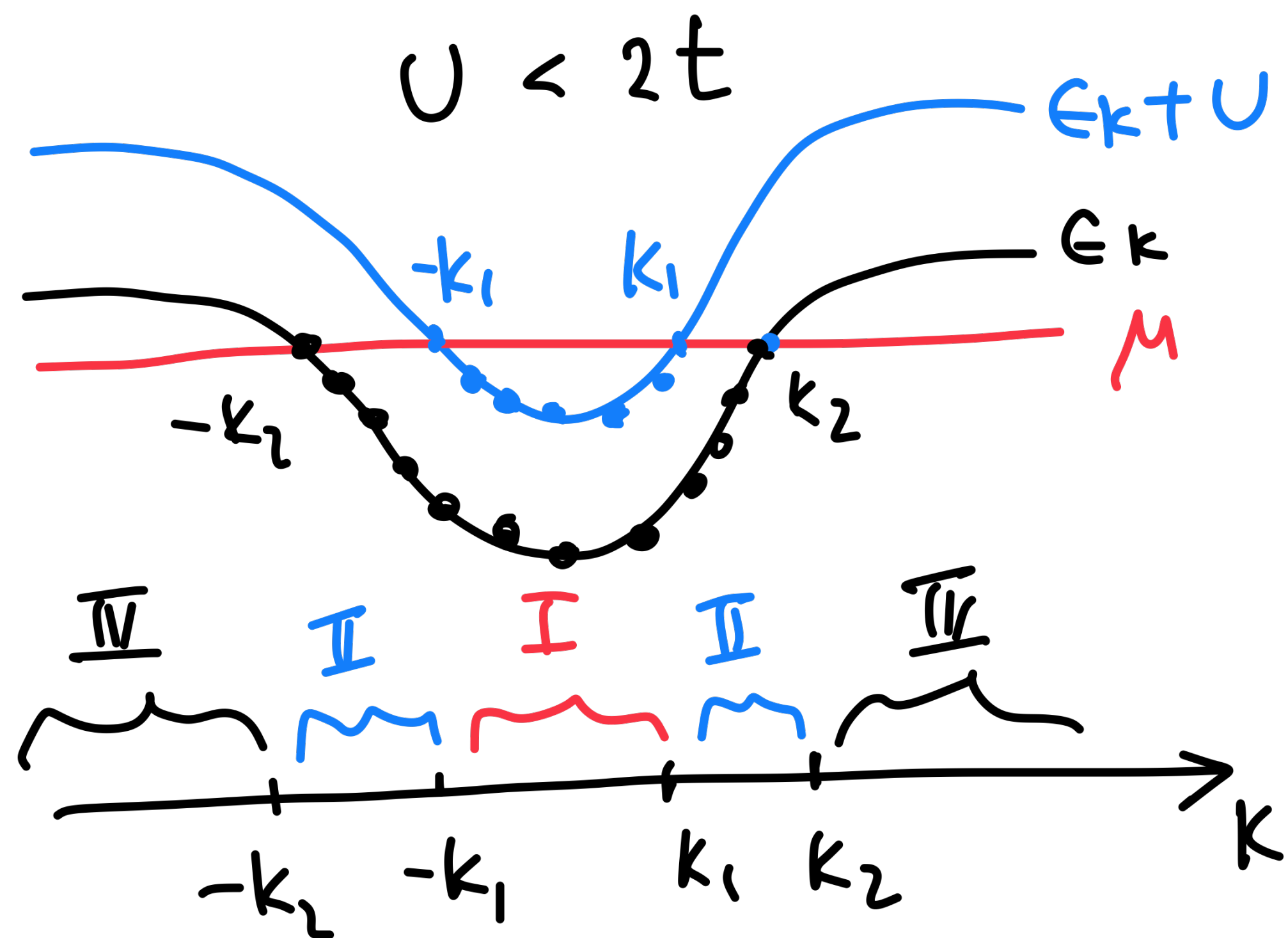
$$\text{I: } n_k = 2$$

$$U < 2t$$



More formal def.

$$G(\vec{k}, \omega=0) > 0 \text{ for } k < k_F$$



$$G_\sigma(k, \omega) = \frac{Z_p(Q=1)}{\omega - (E_k + U - \mu) + i\delta} + \frac{Z_h(Q=-1)}{\omega - (E_k - \mu) - i\delta} \quad \text{for } k \in \text{II}$$

$$Z_p + Z_h = 1 \quad \text{and} \quad Z_p = Z_h = \frac{1}{2} \quad \leftarrow \text{fractionalization}$$

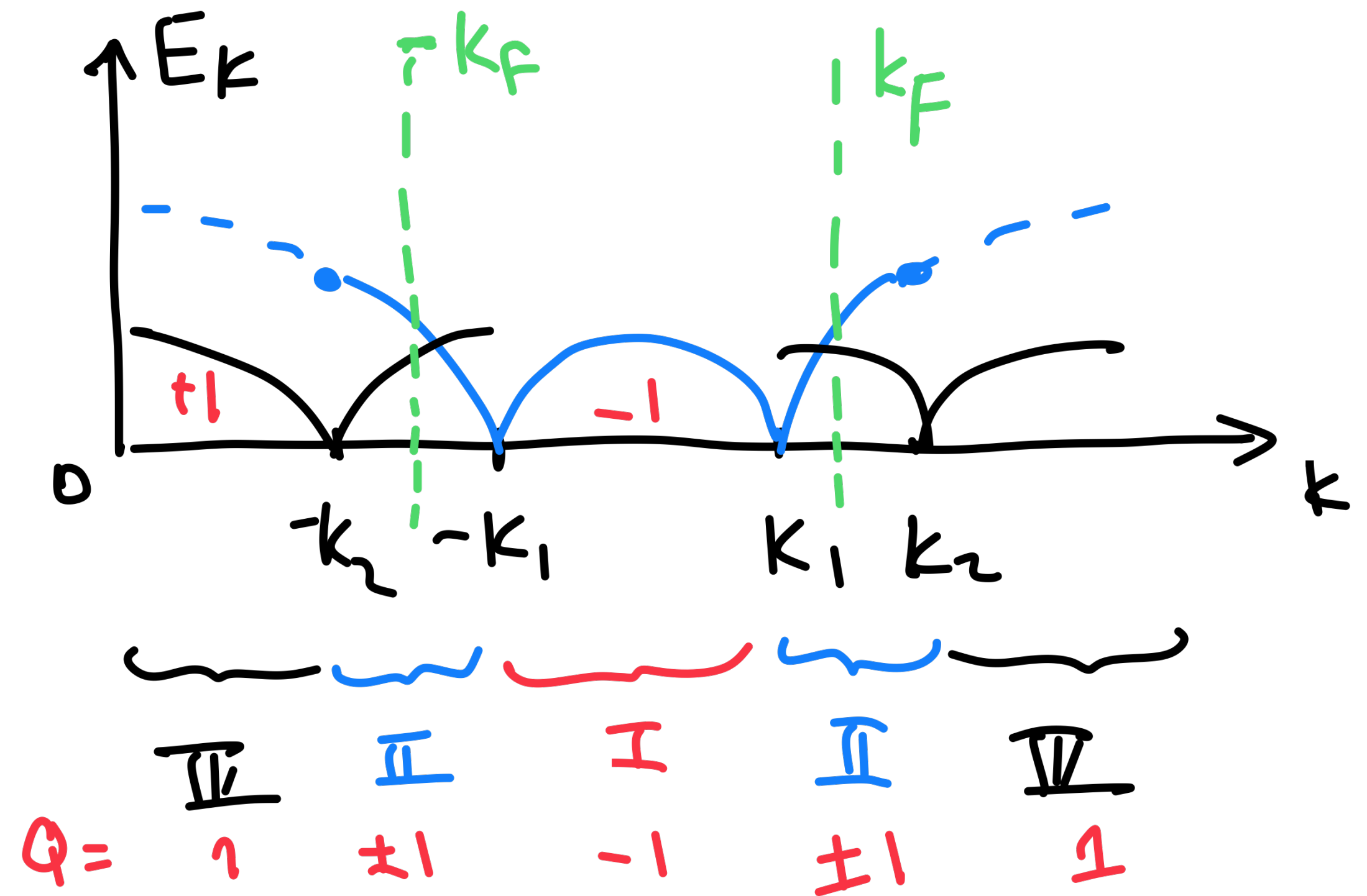
$$\text{Ref.: } G_\sigma(k, \omega) = \frac{Z}{\omega - \epsilon_k + i\eta_k} + \text{Reg.}$$

$$n(k=k_F+0^-) - n(k=k_F+0^+)$$

$$= Z_p(k=k_F+0^+) - Z_p(k=k_F+0^-)$$

$$= \frac{1}{2} - \frac{1}{2} = 0$$

NFL.



$$G_\sigma(k, \omega) = \frac{Z_p(Q=1)}{\omega - (E_k + \mu - \mu) + i\delta} + \frac{Z_h(Q=-1)}{\omega - (E_k - \mu) - i\delta} \quad \text{for } k \in \text{II}$$

$$Z_p + Z_h = 1 \quad \text{and} \quad Z_p = Z_h = \frac{1}{2} \quad \leftarrow \text{fractionalization}$$