

Phys525: Quantum Condensed Matter Physics:

Episode three: PHC as an internal emergent symmetries (ES):
crystal effects, SSB and slightly formal stuff

PHC/CC symmetry as an internal ES

- PHC/CC symmetry: invariant dynamics when particles (e) become holes ($-e$).
- PHC/CC intrinsic and fundamental to QFT (relativistic) but not to CMP. but in CMP, it can emerge naturally in lattices or without lattices.
- PHC important for EFT studies of interactions as well as topological classifications.
- The only internal ES!! (well there is a close cousin).

- Emergent PHC/CC symmetries: case analysis
- A) due to interactions with background crystal structures, i.e. band structures with $U(1)$ charge symmetries. (Often need tuning!!)
- B) due to mutual interactions between particles via spontaneous symmetry breaking. (Very robust!!)

- Continue our discussions on the simple Model (with emergent PHC symmetry along with relativistic space-time symmetry).
- Some more formal discussions on PH transformations and basic algebraic structures.
- More realistic the Haldane lattice model

Three Examples of internal EB of PHC

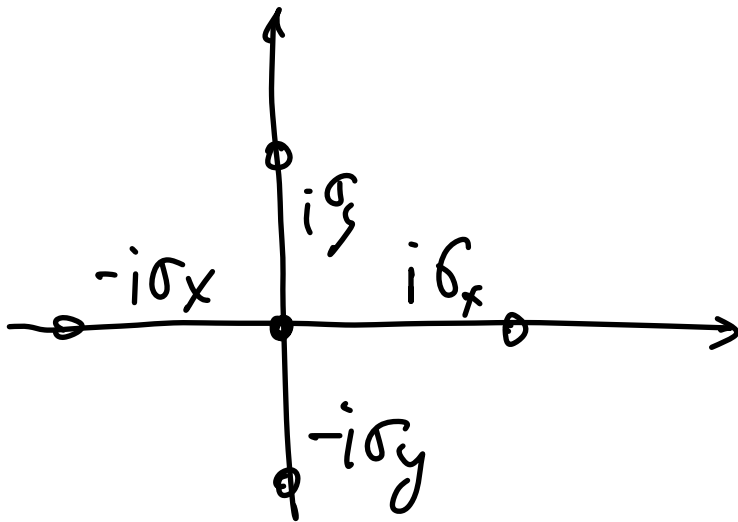
- Two lattice Models with PHC symmetry

- $$H_1 = -\Delta \sum_i \psi_i^\dagger \sigma_z \psi_i - t \sum_{i,\alpha} \psi_i^\dagger \sigma_z \psi_{i+\alpha} + h.c.$$

- $$H_2 = -t \sum_{i,\alpha} \psi_i^\dagger \Gamma_\alpha \psi_{i+\alpha} + h.c., \Gamma_\alpha = -\Gamma_{-\alpha} = i\vec{\sigma} \cdot \vec{\alpha}$$

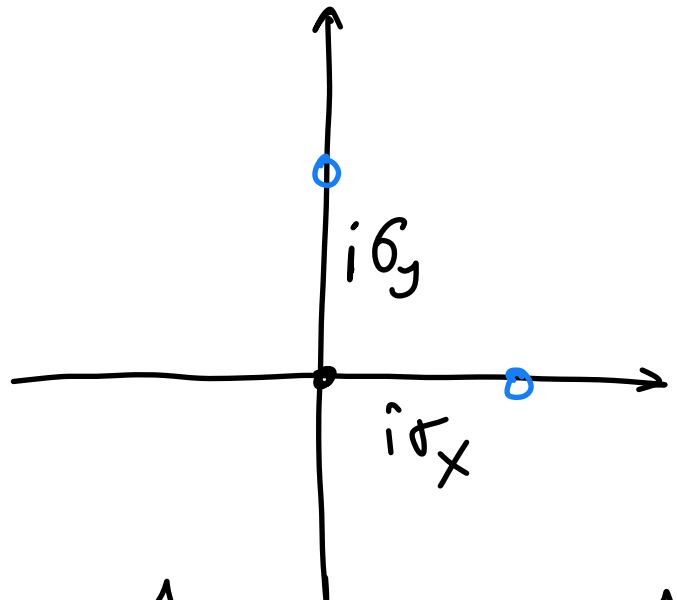
$$H_2 = -t \sum_{i, \alpha \in \mathbb{N}^d} \Psi_i^\dagger \Gamma_\alpha \Psi_{i+\alpha} + \text{h.c.}, \quad \alpha \in \mathbb{N}^d$$

$$= -t \sum_{i, \hat{e}_\alpha} \Psi_i^\dagger \Gamma_{\hat{e}_\alpha} \Psi_{i+\hat{e}_\alpha} + \text{h.c.}, \quad \alpha = x, y, z$$



$$\alpha \in \mathbb{N}^d$$

or



$$\hat{e}_\alpha = \hat{e}_x, \hat{e}_y, \hat{e}_z$$

PHC symmetry with U(1) symmetry

$$h_k(\sigma) = \sigma_x \sin k_x + \sigma_y \sin k_y + \sigma_z \sin k_z, H_2 = -2t \sum_k \psi_k^\dagger h_k \psi_k$$

However, PHC symmetry broken if

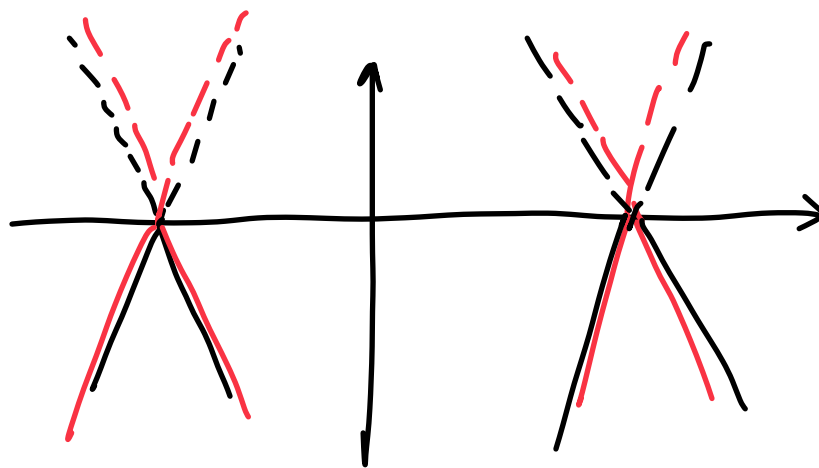
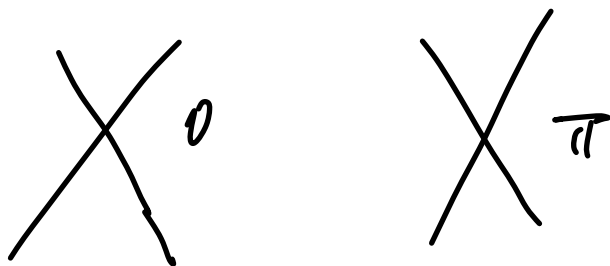
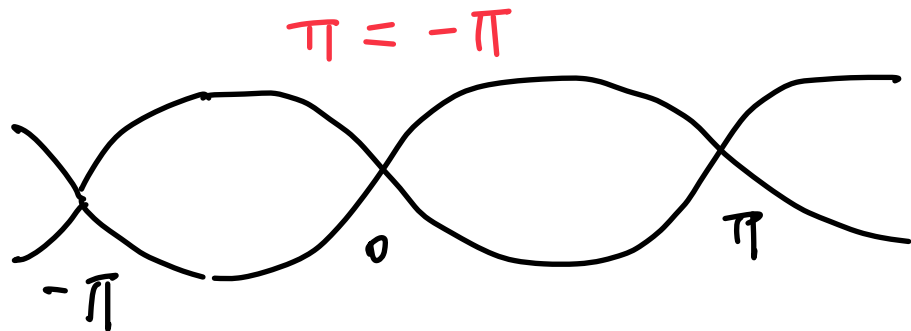
$$\Gamma'_\alpha = \Gamma_\alpha + \delta\Gamma_\alpha, \quad \delta\Gamma_\alpha = \delta \mathbb{1}$$

\downarrow

$$\Gamma_\alpha = -\Gamma_{-\alpha} = -\Gamma_\alpha^\dagger$$

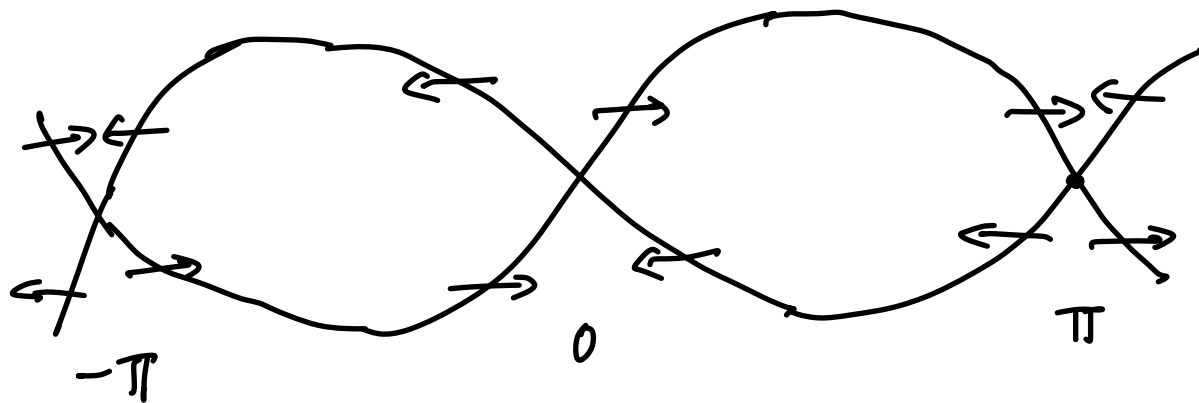
\uparrow
unitary

H₂



"PHC Symmetry" with U(1) Symmetry

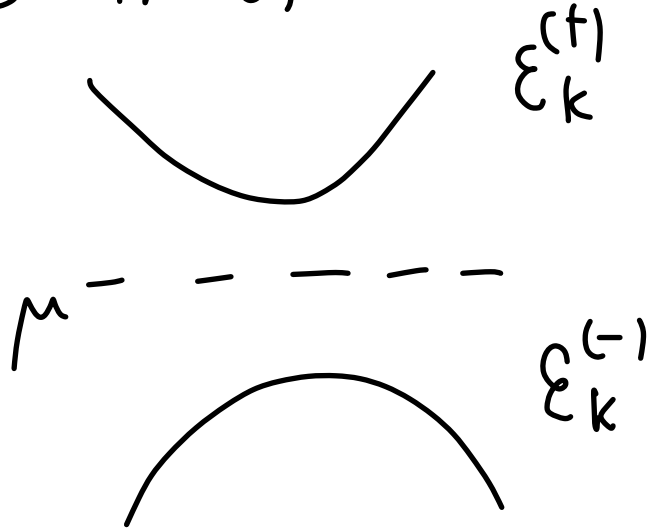
$$k_{\pi} = k_{-\pi}$$



- Nielsen-Ninomiya theorem of fermion doubling
- H.B. Nielsen and M. Ninomiya. Absence of neutrinos on a lattice: (i). proof by homotopy theory. Nuclear Physics B, 185(1):20–40, 1981; (ii). intuitive topological proof. Nuclear Physics B, 193(1):173–194, 1981.

- A Weyl fermion cone (left or right) is half of Dirac fermion cone (massless).
- On lattices with $U(1)$ symmetry, Weyl fermion cones always appear in pairs.
- On lattice with $U(1)$ symmetry and Chiral symmetry ($U(1) \times U(1)$), Dirac cones always appear in pairs.
- Wilson fermions has only one Dirac cone at low energies but paired at high energies.

C-Transformation \rightarrow



$$b_{k,+}^{\dagger} \rightarrow b_{-k,-}$$

$$b_{k,+} \rightarrow b_{-k,-}^{\dagger}$$

$$H \rightarrow H \quad \text{if} \quad \epsilon_k^{(+)} = -\epsilon_{-k}^{(-)}$$

More formally, $C^{\dagger} H(p, m) C = -H(-p, m)$

$$H = \sum_k \epsilon_k^{(+)} b_{k,+}^{\dagger} b_{k,+} + \epsilon_k^{(-)} b_{k,-}^{\dagger} b_{k,-}$$

$$= \left[\sum_k \epsilon_k^{(+)} b_{k,+}^{\dagger} b_{k,+} - \epsilon_{-k}^{(-)} b_{-k,-}^{\dagger} b_{-k,-} \right]$$

$\rightarrow a_{k,+}^{\dagger} a_{k,+}$

- More technical discussions on PHC transformation and PHC symmetry as supplementary materials.